



**The University of Chicago**  
University Research Administration

Year-End Reports, FY2011



## The University of Chicago Overview

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**University of Chicago**  
Proposals and Awards - Direct and Indirect Costs  
Year-End Comparison FY2010 vs. FY2011

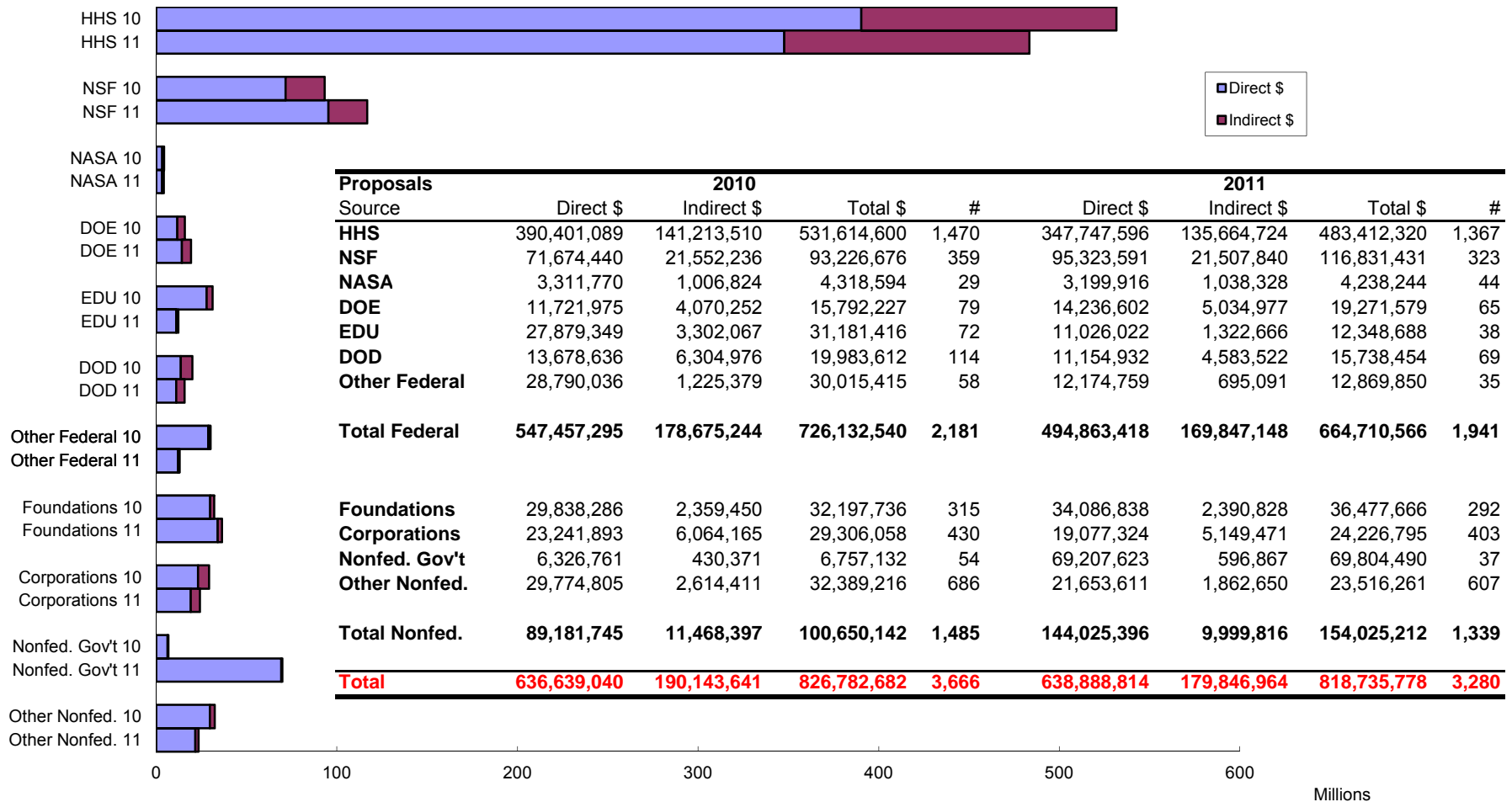
PROPOSALS Source	FY2010				FY2011			
	Direct \$	Indirect \$	Total \$	#	Direct \$	Indirect \$	Total \$	#
<b>HHS</b>	390,401,089	141,213,510	531,614,600	1,470	347,747,596	135,664,724	483,412,320	1,367
<b>NSF</b>	71,674,440	21,552,236	93,226,676	359	95,323,591	21,507,840	116,831,431	323
<b>NASA</b>	3,311,770	1,006,824	4,318,594	29	3,199,916	1,038,328	4,238,244	44
<b>DOE</b>	11,721,975	4,070,252	15,792,227	79	14,236,602	5,034,977	19,271,579	65
<b>EDU</b>	27,879,349	3,302,067	31,181,416	72	11,026,022	1,322,666	12,348,688	38
<b>DOD</b>	13,678,636	6,304,976	19,983,612	114	11,154,932	4,583,522	15,738,454	69
<b>Other Federal</b>	28,790,036	1,225,379	30,015,415	58	12,174,759	695,091	12,869,850	35
<b>Total Federal</b>	<b>547,457,295</b>	<b>178,675,244</b>	<b>726,132,540</b>	<b>2,181</b>	<b>494,863,418</b>	<b>169,847,148</b>	<b>664,710,566</b>	<b>1,941</b>
<b>Foundations</b>	29,838,286	2,359,450	32,197,736	315	34,086,838	2,390,828	36,477,666	292
<b>Corporations</b>	23,241,893	6,064,165	29,306,058	430	19,077,324	5,149,471	24,226,795	403
<b>Nonfed. Gov't</b>	6,326,761	430,371	6,757,132	54	69,207,623	596,867	69,804,490	37
<b>Other Nonfed.</b>	29,774,805	2,614,411	32,389,216	686	21,653,611	1,862,650	23,516,261	607
<b>Total Nonfed.</b>	<b>89,181,745</b>	<b>11,468,397</b>	<b>100,650,142</b>	<b>1,485</b>	<b>144,025,396</b>	<b>9,999,816</b>	<b>154,025,212</b>	<b>1,339</b>
<b>Total</b>	<b>636,639,040</b>	<b>190,143,641</b>	<b>826,782,682</b>	<b>3,666</b>	<b>638,888,814</b>	<b>179,846,964</b>	<b>818,735,778</b>	<b>3,280</b>

AWARDS Source	FY2010				FY2011			
	Direct \$	Indirect \$	Total \$	#	Direct \$	Indirect \$	Total \$	#
<b>HHS</b>	205,248,188	77,619,908	282,868,096	935	197,184,826	71,343,930	268,175,327	855
<b>NSF</b>	72,699,062	16,635,533	89,334,595	212	38,005,120	12,586,394	50,591,514	183
<b>NASA</b>	3,935,841	1,674,896	5,610,737	45	3,086,970	1,183,398	4,270,368	46
<b>DOE</b>	7,478,146	2,467,242	9,945,388	75	10,655,273	4,008,752	14,664,025	78
<b>EDU</b>	27,273,574	857,746	28,131,320	49	9,491,504	681,494	10,172,998	34
<b>DOD</b>	9,140,681	3,827,182	12,967,863	58	7,513,921	3,260,137	10,774,058	46
<b>Other Federal</b>	2,368,705	636,803	3,005,508	32	1,058,280	400,151	1,458,431	16
<b>Total Federal</b>	<b>328,144,197</b>	<b>103,719,310</b>	<b>431,863,507</b>	<b>1,406</b>	<b>266,995,894</b>	<b>93,464,256</b>	<b>360,106,721</b>	<b>1,258</b>
<b>Foundations</b>	24,511,575	1,579,556	26,091,131	197	35,142,157	2,241,902	37,384,059	165
<b>Corporations</b>	23,748,812	6,241,425	29,990,237	405	19,438,884	5,206,626	24,645,510	236
<b>Nonfed. Gov't</b>	8,041,150	706,105	8,747,255	37	8,486,769	481,396	8,968,165	31
<b>Other Nonfed.</b>	14,184,538	1,148,852	15,333,390	483	11,964,464	1,162,084	13,126,548	404
<b>Total Nonfed.</b>	<b>70,486,075</b>	<b>9,675,938</b>	<b>80,162,013</b>	<b>1,122</b>	<b>75,032,274</b>	<b>9,092,008</b>	<b>84,124,282</b>	<b>836</b>
<b>Total</b>	<b>398,630,272</b>	<b>113,395,248</b>	<b>512,025,520</b>	<b>2,528</b>	<b>342,028,168</b>	<b>102,556,264</b>	<b>444,231,003</b>	<b>2,094</b>

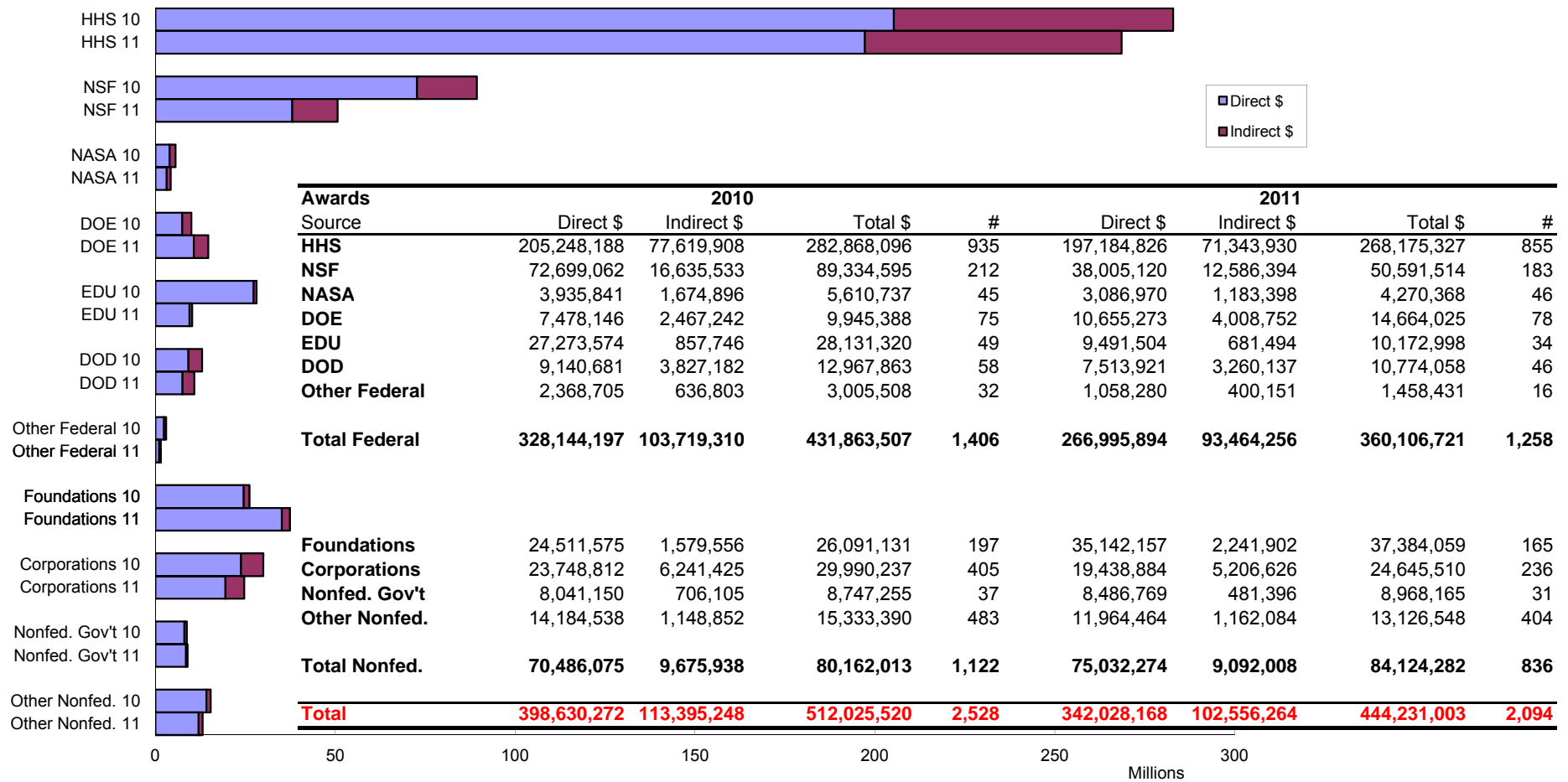
"Foundations" = private, family, corporate foundations.  
"Other Nonfed." = associations, other nonprofit entities.

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**University of Chicago**  
 Proposals - Direct and Indirect Costs  
 Year-End Comparison FY 2010 vs. FY 2011



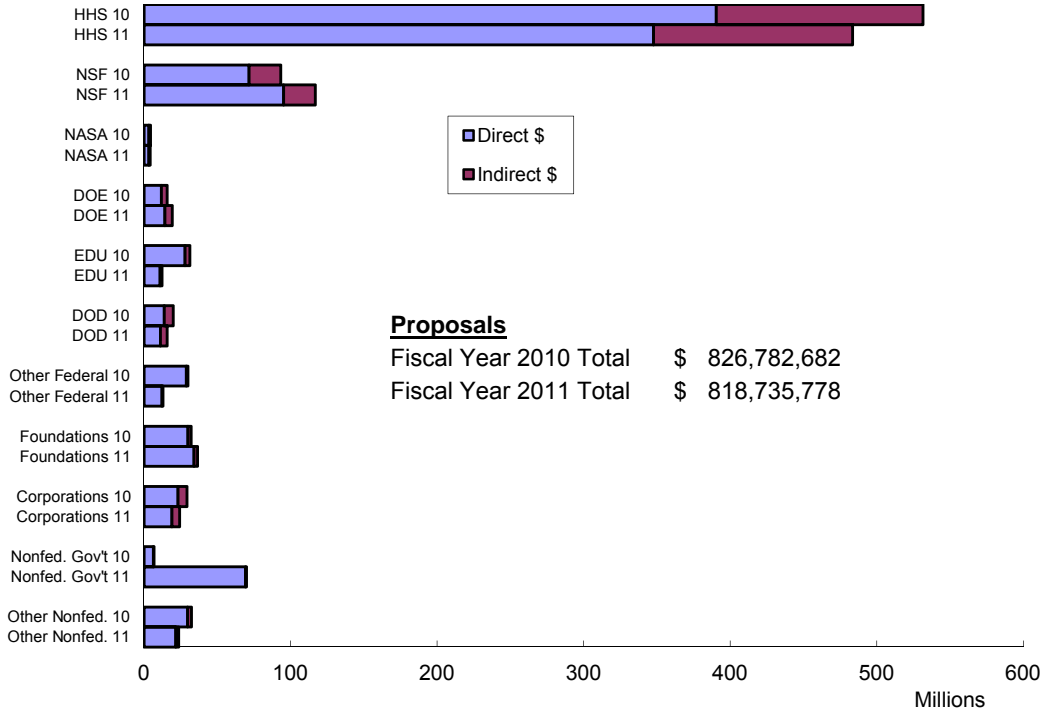
**University of Chicago**  
Awards - Direct and Indirect Costs  
Year-End Comparison FY 2010 vs. FY 2011



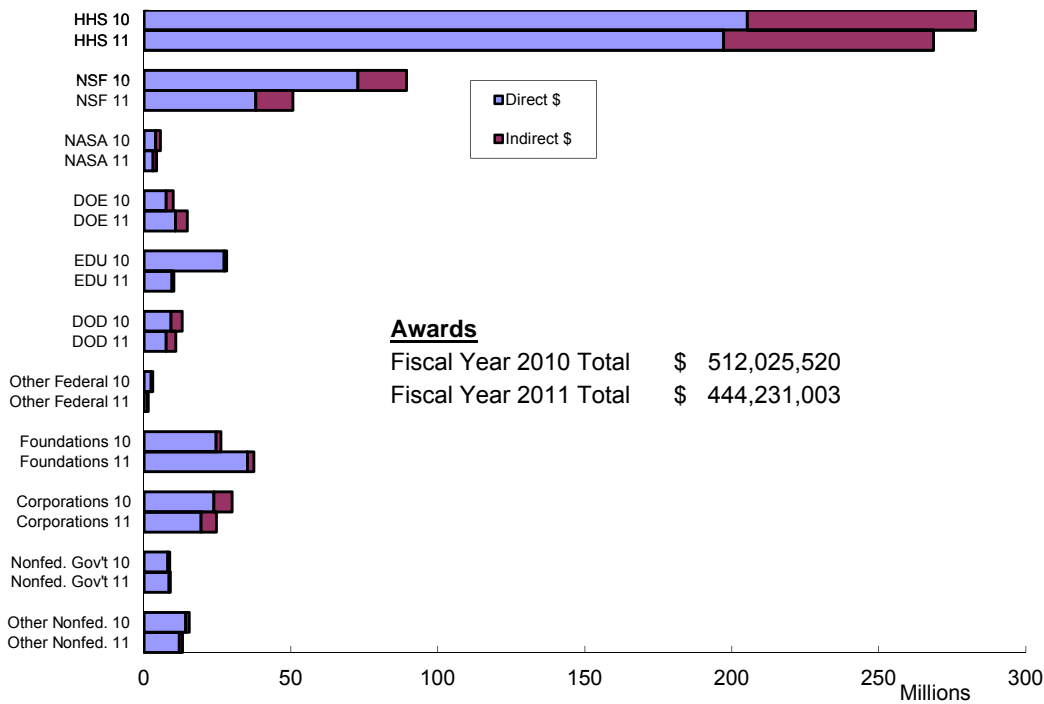
## University of Chicago

### Proposals and Awards - Direct and Indirect Costs

#### Year-End Comparison FY 2010 vs. FY 2011



**Proposals**  
 Fiscal Year 2010 Total    \$ 826,782,682  
 Fiscal Year 2011 Total    \$ 818,735,778



**Awards**  
 Fiscal Year 2010 Total    \$ 512,025,520  
 Fiscal Year 2011 Total    \$ 444,231,003

**University of Chicago**  
Proposals and Awards - Competes and Non-Competes  
Year-End Comparison FY2010 vs. FY2011

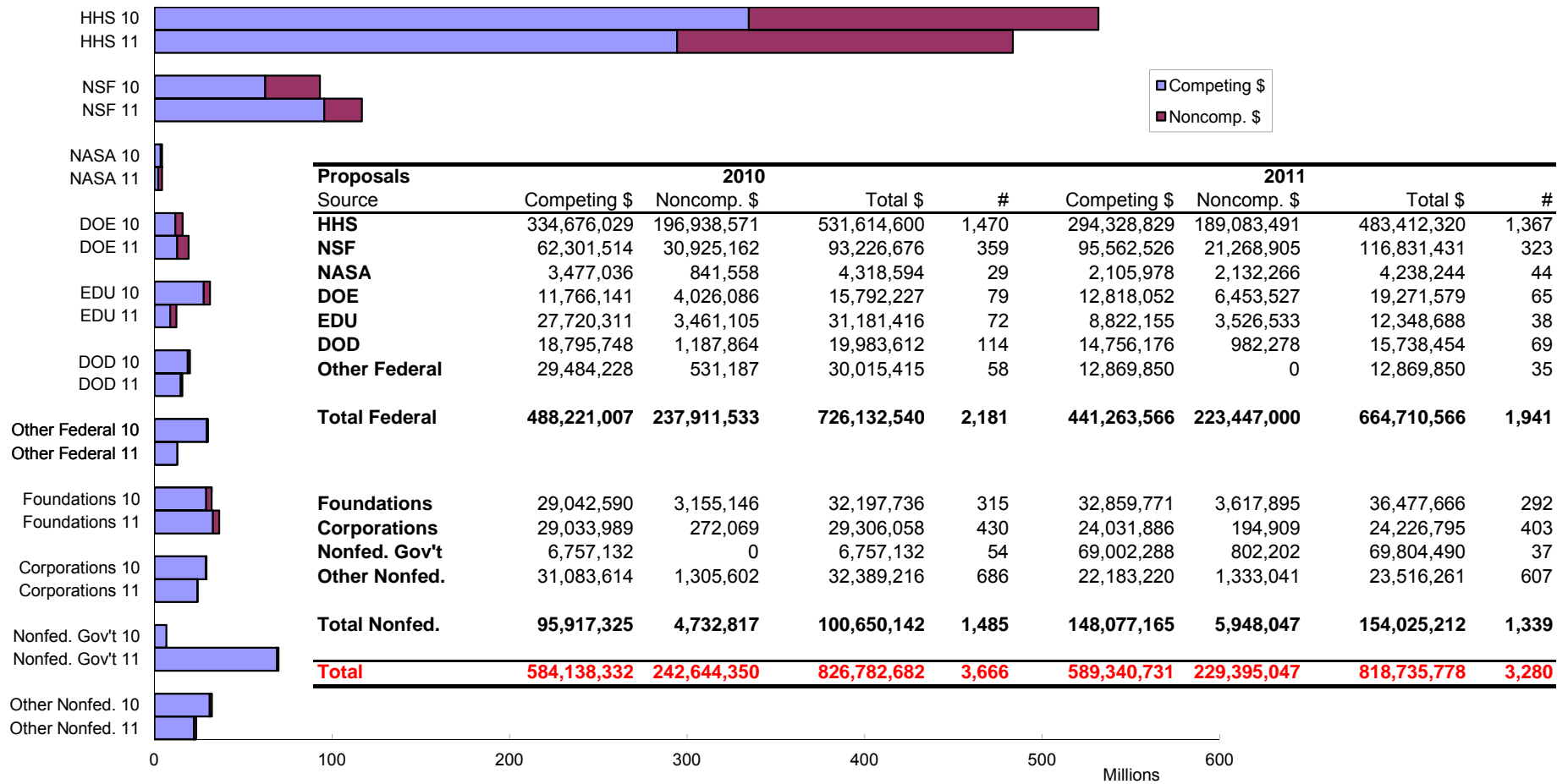
PROPOSALS Source	FY2010				FY2011			
	Competing \$	Noncomp. \$	Total \$	#	Competing \$	Noncomp. \$	Total \$	#
<b>HHS</b>	334,676,029	196,938,571	531,614,600	1,470	294,328,829	189,083,491	483,412,320	1,367
<b>NSF</b>	62,301,514	30,925,162	93,226,676	359	95,562,526	21,268,905	116,831,431	323
<b>NASA</b>	3,477,036	841,558	4,318,594	29	2,105,978	2,132,266	4,238,244	44
<b>DOE</b>	11,766,141	4,026,086	15,792,227	79	12,818,052	6,453,527	19,271,579	65
<b>EDU</b>	27,720,311	3,461,105	31,181,416	72	8,822,155	3,526,533	12,348,688	38
<b>DOD</b>	18,795,748	1,187,864	19,983,612	114	14,756,176	982,278	15,738,454	69
<b>Other Federal</b>	29,484,228	531,187	30,015,415	58	12,869,850	0	12,869,850	35
<b>Total Federal</b>	<b>488,221,007</b>	<b>237,911,533</b>	<b>726,132,540</b>	<b>2,181</b>	<b>441,263,566</b>	<b>223,447,000</b>	<b>664,710,566</b>	<b>1,941</b>
<b>Foundations</b>	29,042,590	3,155,146	32,197,736	315	32,859,771	3,617,895	36,477,666	292
<b>Corporations</b>	29,033,989	272,069	29,306,058	430	24,031,886	194,909	24,226,795	403
<b>Nonfed. Gov't</b>	6,757,132	0	6,757,132	54	69,002,288	802,202	69,804,490	37
<b>Other Nonfed.</b>	31,083,614	1,305,602	32,389,216	686	22,183,220	1,333,041	23,516,261	607
<b>Total Nonfed.</b>	<b>95,917,325</b>	<b>4,732,817</b>	<b>100,650,142</b>	<b>1,485</b>	<b>148,077,165</b>	<b>5,948,047</b>	<b>154,025,212</b>	<b>1,339</b>
<b>Total</b>	<b>584,138,332</b>	<b>242,644,350</b>	<b>826,782,682</b>	<b>3,666</b>	<b>589,340,731</b>	<b>229,395,047</b>	<b>818,735,778</b>	<b>3,280</b>

AWARDS Source	FY2010				FY2011			
	Competing \$	Noncomp. \$	Total \$	#	Competing \$	Noncomp. \$	Total \$	#
<b>HHS</b>	86,183,228	196,684,868	282,868,096	935	94,316,864	173,858,463	268,175,327	855
<b>NSF</b>	60,622,783	28,711,812	89,334,595	212	26,797,459	23,794,055	50,591,514	183
<b>NASA</b>	2,815,137	2,795,600	5,610,737	45	1,840,699	2,429,669	4,270,368	46
<b>DOE</b>	6,390,666	3,554,722	9,945,388	75	9,153,808	5,510,217	14,664,025	78
<b>EDU</b>	25,006,329	3,124,991	28,131,320	49	6,994,881	3,178,117	10,172,998	34
<b>DOD</b>	11,748,015	1,219,848	12,967,863	58	9,790,624	983,434	10,774,058	46
<b>Other Federal</b>	2,274,402	731,106	3,005,508	32	1,458,431	0	1,458,431	16
<b>Total Federal</b>	<b>195,040,560</b>	<b>236,822,947</b>	<b>431,863,507</b>	<b>1,406</b>	<b>150,352,766</b>	<b>209,753,955</b>	<b>360,106,721</b>	<b>1,258</b>
<b>Foundations</b>	23,157,088	2,934,043	26,091,131	197	34,295,121	3,088,938	37,384,059	165
<b>Corporations</b>	29,718,168	272,069	29,990,237	405	24,354,962	290,548	24,645,510	236
<b>Nonfed. Gov't</b>	8,676,255	71,000	8,747,255	37	8,115,963	852,202	8,968,165	31
<b>Other Nonfed.</b>	14,558,199	775,191	15,333,390	483	11,444,161	1,682,387	13,126,548	404
<b>Total Nonfed.</b>	<b>76,109,710</b>	<b>4,052,303</b>	<b>80,162,013</b>	<b>1,122</b>	<b>78,210,207</b>	<b>5,914,075</b>	<b>84,124,282</b>	<b>836</b>
<b>Total</b>	<b>271,150,270</b>	<b>240,875,250</b>	<b>512,025,520</b>	<b>2,528</b>	<b>228,562,973</b>	<b>215,668,030</b>	<b>444,231,003</b>	<b>2,094</b>

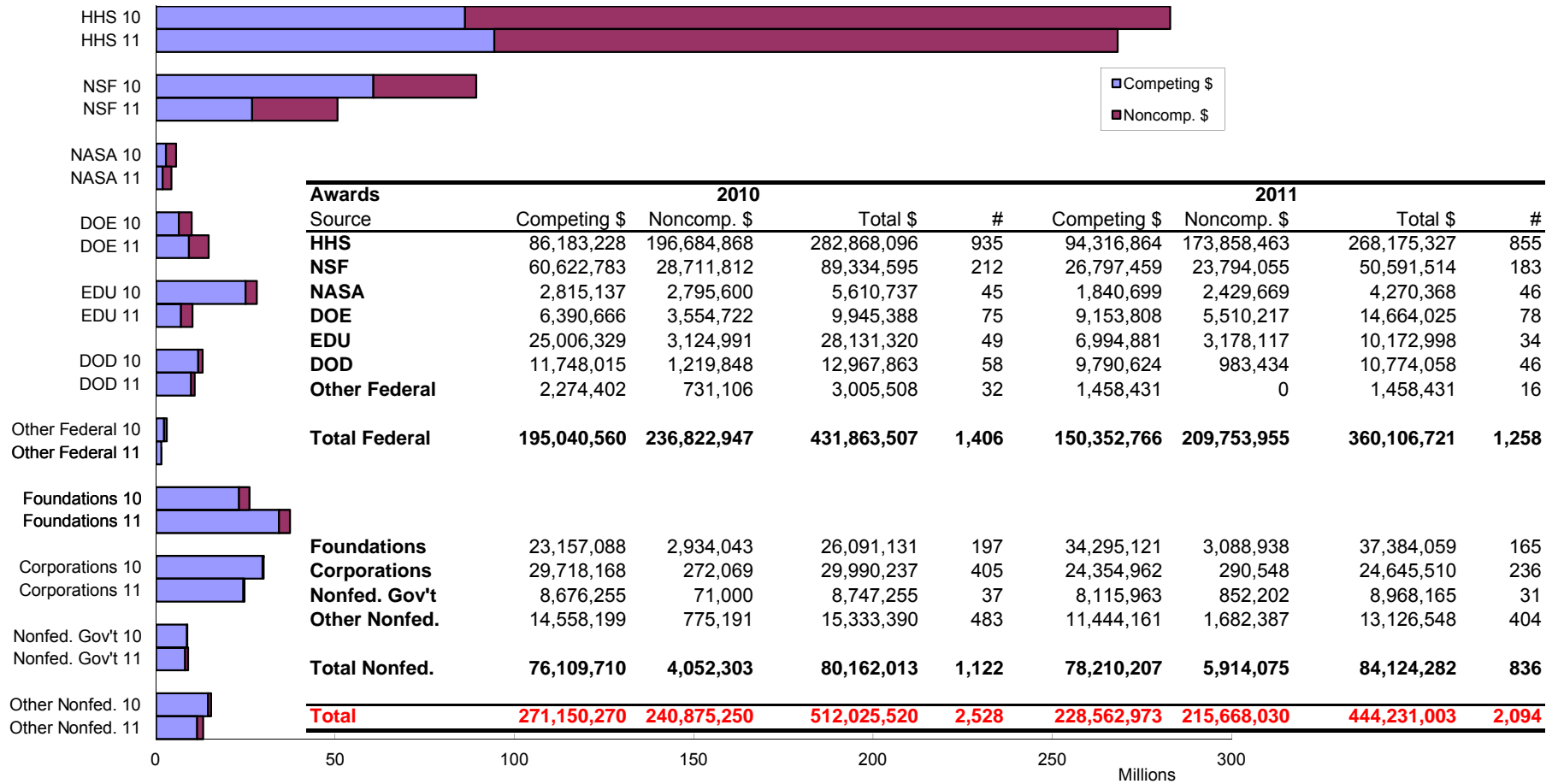
"Foundations" = private, family, corporate foundations.  
"Other Nonfed." = associations, other nonprofit entities.

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**University of Chicago**  
 Proposals - Competes vs. Non-Competes  
 Year-End Comparison FY 2010 vs. FY 2011

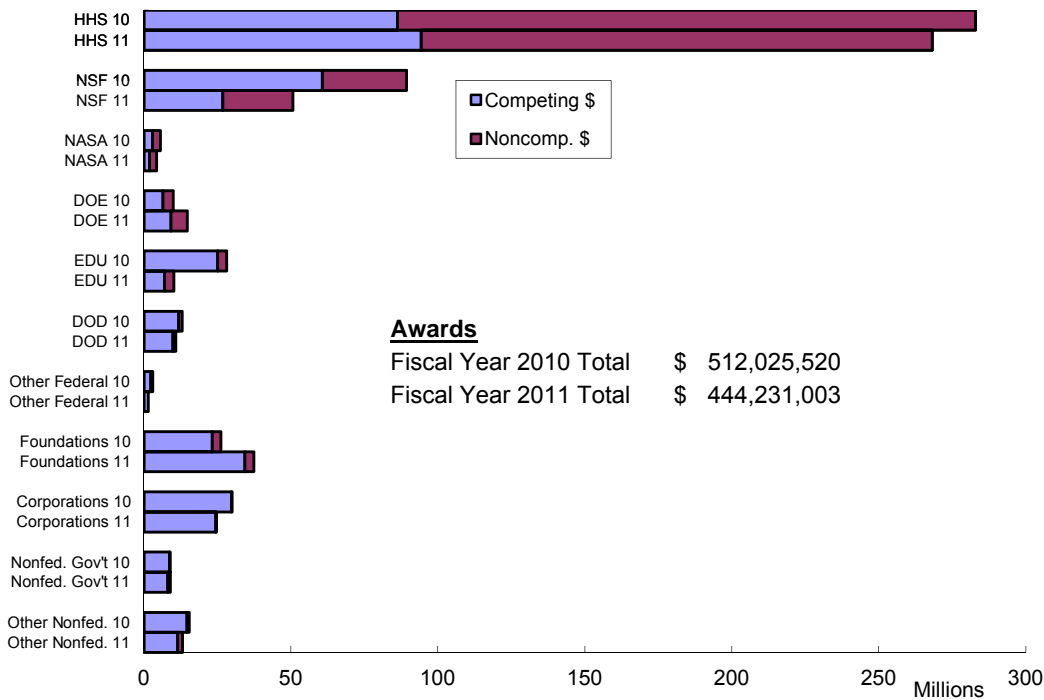
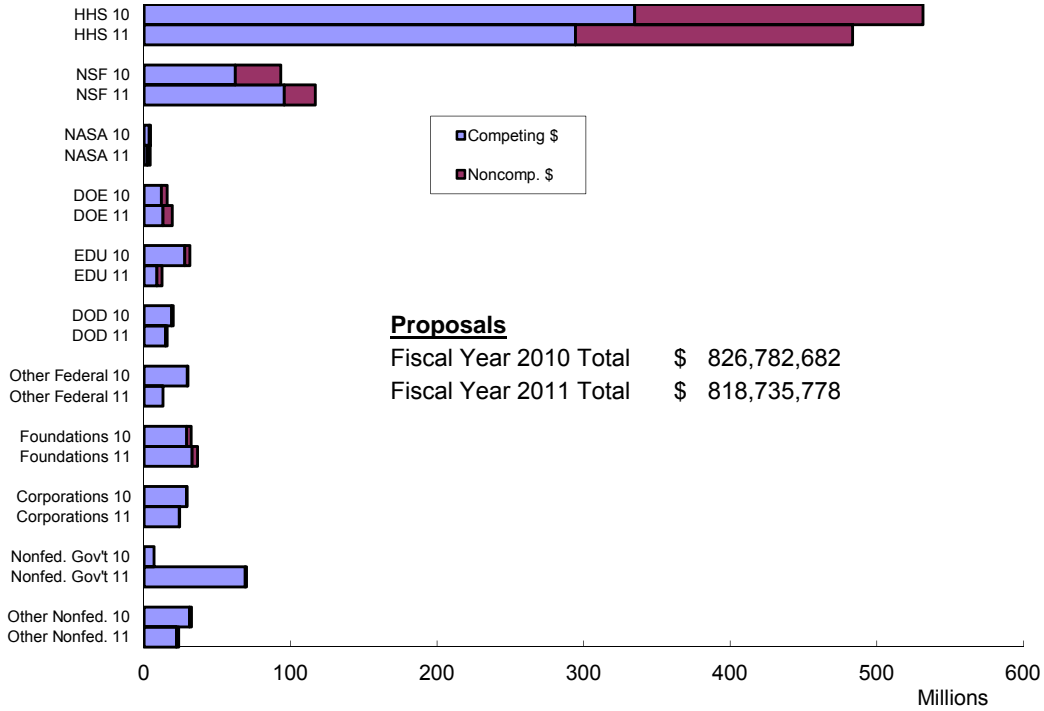


**University of Chicago**  
 Awards - Competes vs. Non-Competes  
 Year-End Comparison FY 2010 vs. FY 2011



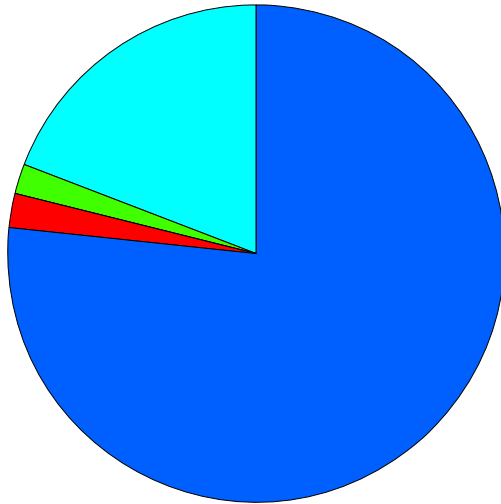
# University of Chicago

## Proposals and Awards - Competes vs. Non-Competes Year-End Comparison FY 2010 vs. FY 2011



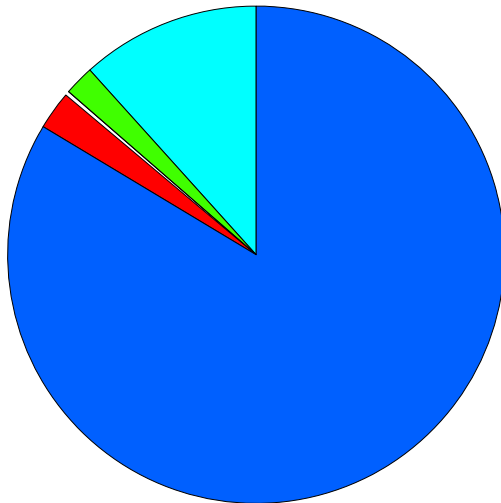
**University of Chicago**  
 Proposals & Awards by Purpose  
 FY 11

**Proposed \$**



Research	627,440,163	76.6%
Training	18,922,539	2.3%
Student Aid	45,000	0.0%
Fellowships	16,194,959	2.0%
Other Purposes	156,133,117	19.1%
<b>Total:</b>	<b>818,735,778</b>	<b>100.0%</b>

**Awarded \$**

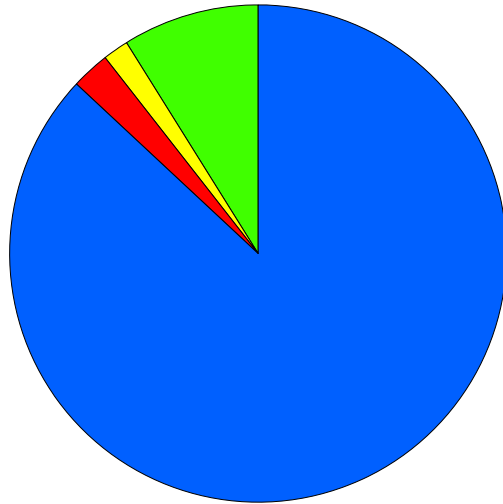


Research	370,935,914	83.5%
Training	12,196,034	2.7%
Student Aid	165,000	0.0%
Fellowships	9,364,362	2.1%
Other Purposes	51,569,693	11.6%
<b>Total:</b>	<b>444,231,003</b>	<b>100.0%</b>

Other purposes include instruction, clinical trials, equipment, conferences, travel, construction, and unclassified purposes.

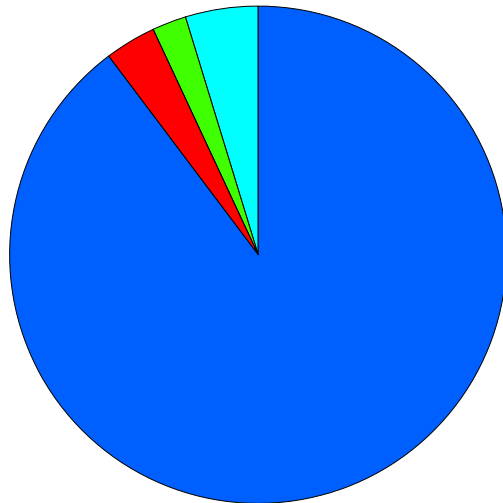
**University of Chicago**  
 Federal Proposals & Awards by Purpose  
 FY 11

**Proposed \$**



Research	578,362,833	87.0%
Training	16,926,216	2.5%
Fellowships	10,206,373	1.5%
Other Purposes	59,215,144	8.9%
<b>Total:</b>	<b>664,710,566</b>	<b>100.0%</b>

**Awarded \$**



Research	323,439,153	89.8%
Training	11,974,054	3.3%
Student Aid	120,000	0.0%
Fellowships	7,167,044	2.0%
Other Purposes	17,406,470	4.8%
<b>Total:</b>	<b>360,106,721</b>	<b>100.0%</b>

Other purposes include instruction, clinical trials, equipment, conferences, travel, construction, and unclassified purposes.

**University of Chicago**  
Proposals and Awards by Division  
Year-End Comparison FY2010 vs. FY2011

<b>PROPOSALS</b>	<b>FY2010</b>		<b>FY2011</b>	
Division	Total \$	#	Total \$	#
<b>Biological Sciences Division</b>	579,715,103	2,704	530,218,713	2,458
<b>Physical Sciences Division</b>	103,445,401	377	94,377,871	381
<b>Social Sciences Division</b>	24,878,961	158	17,437,697	116
<b>Humanities Division</b>	6,080,017	42	3,296,280	27
<b>Booth School of Business</b>	5,475,073	22	2,732,157	21
<b>School of Social Service Administration</b>	11,444,456	67	5,765,702	46
<b>Harris School of Public Policy Studies</b>	3,220,497	27	2,368,030	24
<b>Law School</b>	234,950	9	390,400	5
<b>Divinity School</b>	244,987	4	659,315	2
<b>Academic Offices</b>	73,220,660	177	81,934,524	141
<b>Chapin Hall Center for Children</b>	9,315,512	58	7,398,724	45
<b>University Offices</b>	9,507,065	21	72,156,365	14
<b>Total</b>	<b>826,782,682</b>	<b>3,666</b>	<b>818,735,778</b>	<b>3,280</b>

<b>AWARDS</b>	<b>FY2010</b>		<b>FY2011</b>	
Division	Total \$	#	Total \$	#
<b>Biological Sciences Division</b>	311,035,573	1,844	284,514,050	1,490
<b>Physical Sciences Division</b>	75,705,876	328	61,553,105	292
<b>Social Sciences Division</b>	17,318,102	80	15,022,430	64
<b>Humanities Division</b>	2,565,512	27	4,119,493	23
<b>Booth School of Business</b>	1,742,522	14	7,006,516	12
<b>School of Social Service Administration</b>	5,449,567	38	3,381,364	31
<b>Harris School of Public Policy Studies</b>	1,819,259	13	1,997,136	11
<b>Law School</b>	210,450	8	270,000	4
<b>Divinity School</b>	421,500	2	725,000	2
<b>Academic Offices</b>	77,397,478	108	47,509,951	111
<b>Chapin Hall Center for Children</b>	8,005,378	44	7,746,242	40
<b>University Offices</b>	10,354,303	22	10,385,716	14
<b>Total</b>	<b>512,025,520</b>	<b>2,528</b>	<b>444,231,003</b>	<b>2,094</b>

\* Academic Offices include the Center for Urban School Improvement, Computation Institute, Graham School, Oriental Institute, College, Continuing Education, Library, Smart Museum, UC Press, Argonne National Laboratory, and Becker Friedman Institute.

\*\* University Offices include Laboratory Schools, Student Services, International House, and misc. operating units.

\*\*\* The FY 2010 amounts include the ARRA funding: 149 proposals for \$88,838,382 and 188 awards for \$87,926,697

\*\*\* The FY 2011 amounts include the ARRA funding: 37 proposals for \$1,749,839 and 33 awards for \$12,203,508

FY2011 UCDiv  
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**University of Chicago**  
Proposals and Awards by Division  
FY 1992 to FY 2010

<b>Proposals</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>
Division									
<b>Biological Sciences Division</b>	171,277	159,429	177,906	173,447	189,669	199,970	223,883	226,782	236,764
<b>Physical Sciences Division</b>	59,415	56,842	80,324	72,949	67,580	54,483	67,633	46,609	53,307
<b>Social Sciences Division</b>	12,654	12,907	15,055	23,167	12,613	19,410	10,976	16,437	19,450
<b>Humanities Division</b>	2,710	3,252	4,428	3,109	1,596	3,797	1,102	1,382	1,900
<b>Booth School of Business</b>	1,787	2,033	3,390	2,786	1,770	660	2,275	3,236	1,455
<b>School of Social Services Administration</b>	3,983	4,176	3,560	3,952	5,894	6,938	7,154	7,106	5,783
<b>Harris School of Public Policy Studies</b>	12,056	5,783	2,940	1,897	4,525	5,029	1,004	3,810	2,930
<b>Law School</b>	2,917	1,305	2,069	2,147	1,936	971	413	290	305
<b>Divinity School</b>	262	443	462	52	943	4,077	817	2,354	944
<b>Academic Offices</b>	7,830	5,314	5,768	4,500	5,806	6,279	25,441	5,889	6,318
<b>Chapin Hall Center for Children</b>	1,125	4,263	2,967	4,229	6,366	9,124	5,212	9,612	4,893
<b>University Offices</b>	16,446	5,343	4,333	4,956	4,989	7,179	5,822	4,733	3,943
<b>Total</b>	<b>292,463</b>	<b>261,091</b>	<b>303,203</b>	<b>297,192</b>	<b>303,688</b>	<b>317,917</b>	<b>351,732</b>	<b>328,240</b>	<b>337,993</b>

<b>Awards</b>	<b>1992</b>	<b>1993</b>	<b>1994</b>	<b>1995</b>	<b>1996</b>	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>
Division									
<b>Biological Sciences Division</b>	91,850	104,751	97,547	109,100	129,936	119,474	128,051	140,321	165,532
<b>Physical Sciences Division</b>	39,795	40,703	40,097	43,531	41,224	42,433	50,295	44,292	46,856
<b>Social Sciences Division</b>	7,237	9,827	6,938	11,546	7,975	12,308	10,834	9,878	12,728
<b>Humanities Division</b>	2,362	704	1,867	2,237	2,015	1,529	702	980	1,166
<b>Booth School of Business</b>	1,062	965	1,526	1,902	1,486	1,338	950	1,631	1,124
<b>School of Social Services Administration</b>	2,589	2,512	1,332	2,625	4,594	4,597	6,366	4,253	7,011
<b>Harris School of Public Policy Studies</b>	3,844	4,153	812	1,303	1,237	2,710	3,038	3,787	3,900
<b>Law School</b>	1,448	1,262	1,629	608	3,111	285	315	118	250
<b>Divinity School</b>	112	232	249	126	185	1,008	3,404	50	2,537
<b>Academic Offices</b>	5,426	3,099	2,348	3,659	3,018	5,937	7,176	6,929	8,261
<b>Chapin Hall Center for Children</b>	2,019	2,913	3,389	2,649	4,352	4,520	4,383	3,790	5,110
<b>University Offices</b>	21,580	8,696	8,393	6,445	7,969	7,574	3,796	1,790	3,337
<b>Total</b>	<b>179,324</b>	<b>179,818</b>	<b>166,126</b>	<b>185,731</b>	<b>207,103</b>	<b>203,713</b>	<b>219,311</b>	<b>217,818</b>	<b>257,813</b>

Dollars in thousands

**University of Chicago**  
Proposals and Awards by Division  
FY 1992 to FY 2010

<b>Proposals</b>	<b>2001</b>	<b>2002</b>	<b>2003</b>	<b>2004</b>	<b>2005</b>	<b>2006</b>	<b>2007</b>	<b>2008</b>	<b>2009</b>	<b>2010</b>
Division										
<b>Biological Sciences Division</b>	288,310	368,912	390,262	437,574	472,159	475,210	544,709	545,820	692,940	579,715
<b>Physical Sciences Division</b>	87,292	97,361	83,263	87,239	97,317	84,521	75,175	78,133	87,929	103,445
<b>Social Sciences Division</b>	18,157	23,696	43,970	44,952	31,692	32,709	27,193	18,894	52,345	24,879
<b>Humanities Division</b>	1,489	2,162	6,065	6,330	4,183	6,424	3,460	4,133	6,768	6,080
<b>Booth School of Business</b>	3,524	1,665	2,339	2,407	1,678	5,271	1,428	1,511	4,452	5,475
<b>School of Social Services Administration</b>	6,135	5,973	4,808	6,936	4,192	4,319	5,418	5,028	4,636	11,444
<b>Harris School of Public Policy Studies</b>	4,042	7,075	4,924	4,942	3,029	2,529	4,031	6,996	2,266	3,220
<b>Law School</b>	360	2,069	2,321	11,355	959	230	1,455	835	480	235
<b>Divinity School</b>	324	195	85	280	64	143	846	3,770	526	245
<b>Academic Offices</b>	13,902	11,891	25,584	36,595	57,726	51,416	33,471	89,644	122,933	73,221
<b>Chapin Hall Center for Children</b>	16,412	8,082	9,350	8,515	7,675	8,394	10,163	11,534	8,124	9,316
<b>University Offices</b>	2,927	6,599	11,451	6,185	12,369	6,295	6,839	7,482	4,297	9,507
<b>Total</b>	<b>442,874</b>	<b>535,680</b>	<b>584,423</b>	<b>653,308</b>	<b>693,042</b>	<b>677,461</b>	<b>714,189</b>	<b>773,780</b>	<b>987,697</b>	<b>826,783</b>

<b>Awards</b>	<b>2001</b>	<b>2002</b>	<b>2003</b>	<b>2004</b>	<b>2005</b>	<b>2006</b>	<b>2007</b>	<b>2008</b>	<b>2009</b>	<b>2010</b>
Division										
<b>Biological Sciences Division</b>	162,930	205,249	207,504	219,357	235,554	259,362	269,954	277,808	295,154	311,036
<b>Physical Sciences Division</b>	48,282	46,720	50,159	57,167	58,815	56,619	59,055	59,757	48,319	75,706
<b>Social Sciences Division</b>	14,415	15,691	18,159	18,839	22,716	12,491	19,617	13,427	26,807	17,318
<b>Humanities Division</b>	2,243	2,274	2,793	3,182	4,883	2,395	3,659	3,442	5,355	2,566
<b>Booth School of Business</b>	1,332	1,350	1,481	1,982	1,262	3,084	2,570	500	1,167	1,743
<b>School of Social Services Administration</b>	3,477	5,704	1,669	4,098	4,942	1,450	2,223	2,601	2,570	5,450
<b>Harris School of Public Policy Studies</b>	2,993	6,347	1,221	3,046	2,263	1,456	1,930	2,309	1,275	1,819
<b>Law School</b>	85	1,283	863	3,845	688	300	555	887	385	210
<b>Divinity School</b>	683	209	32	208	67	44	901	50	4,320	422
<b>Academic Offices</b>	15,675	8,250	18,527	18,118	31,094	39,185	34,102	48,983	70,966	77,397
<b>Chapin Hall Center for Children</b>	10,029	6,360	7,041	8,641	5,574	7,842	7,236	6,805	10,560	8,005
<b>University Offices</b>	5,560	6,160	6,495	24,176	7,246	7,513	5,674	7,125	4,958	10,354
<b>Total</b>	<b>267,704</b>	<b>305,599</b>	<b>315,941</b>	<b>362,661</b>	<b>375,104</b>	<b>391,741</b>	<b>407,478</b>	<b>423,694</b>	<b>471,839</b>	<b>512,026</b>

Dollars in thousands

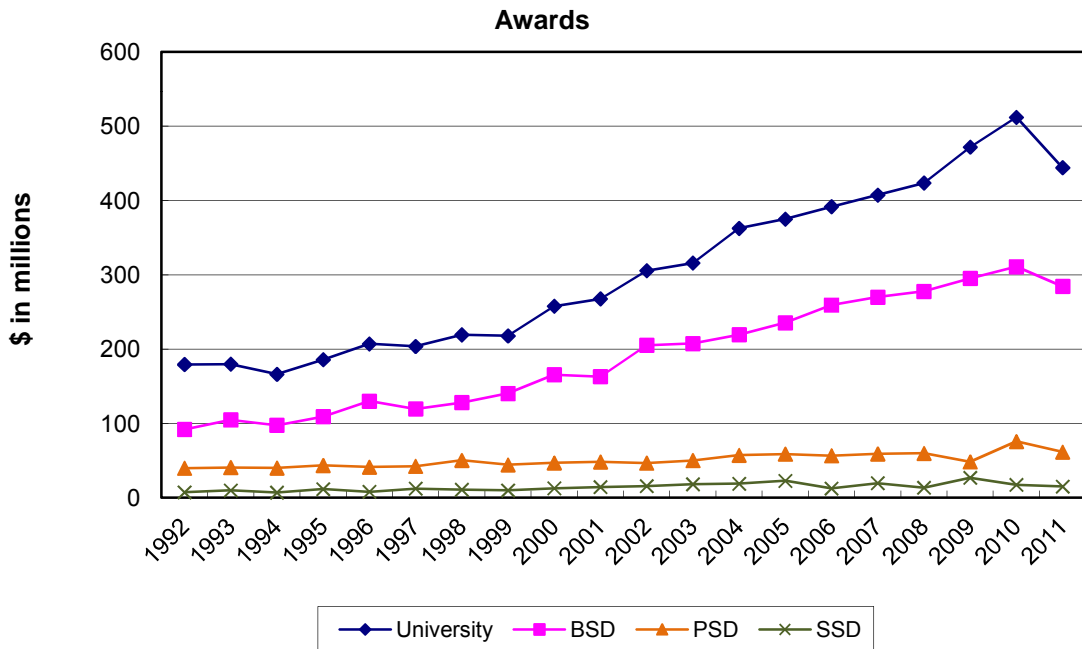
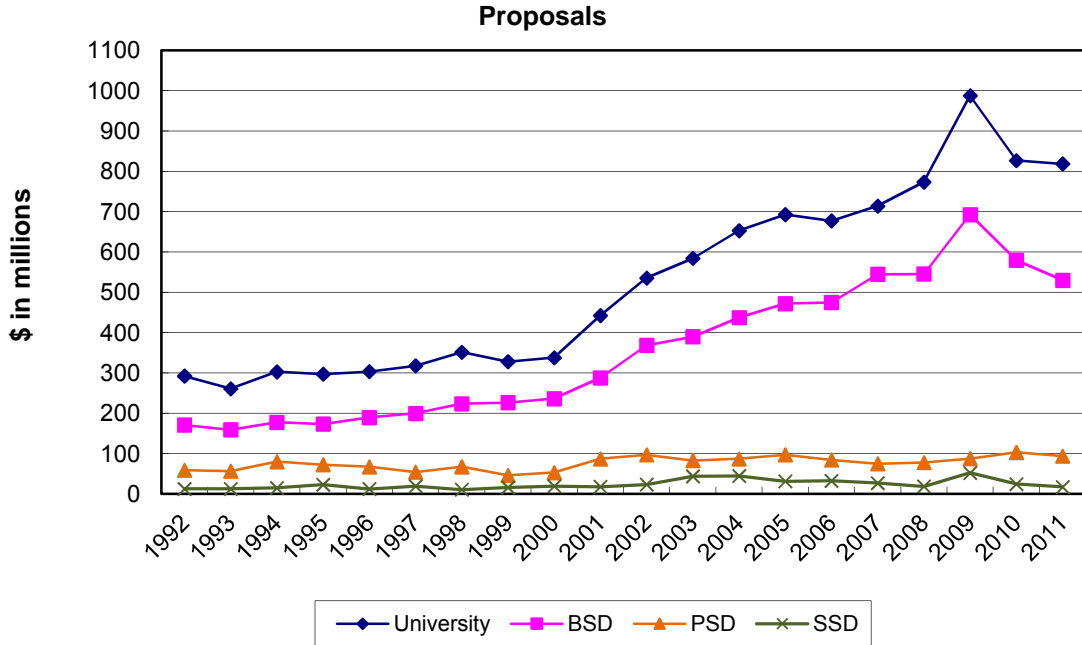
**University of Chicago**  
 Proposals and Awards by Division  
 FY 1992 to FY 2010

<b>Proposals</b>	<b>2011</b>
Division	
Biological Sciences Division	530,219
Physical Sciences Division	94,378
Social Sciences Division	17,438
Humanities Division	3,296
Booth School of Business	2,732
School of Social Services Administration	5,766
Harris School of Public Policy Studies	2,368
Law School	390
Divinity School	659
Academic Offices	81,935
Chapin Hall Center for Children	7,399
University Offices	72,156
<b>Total</b>	<b>818,736</b>

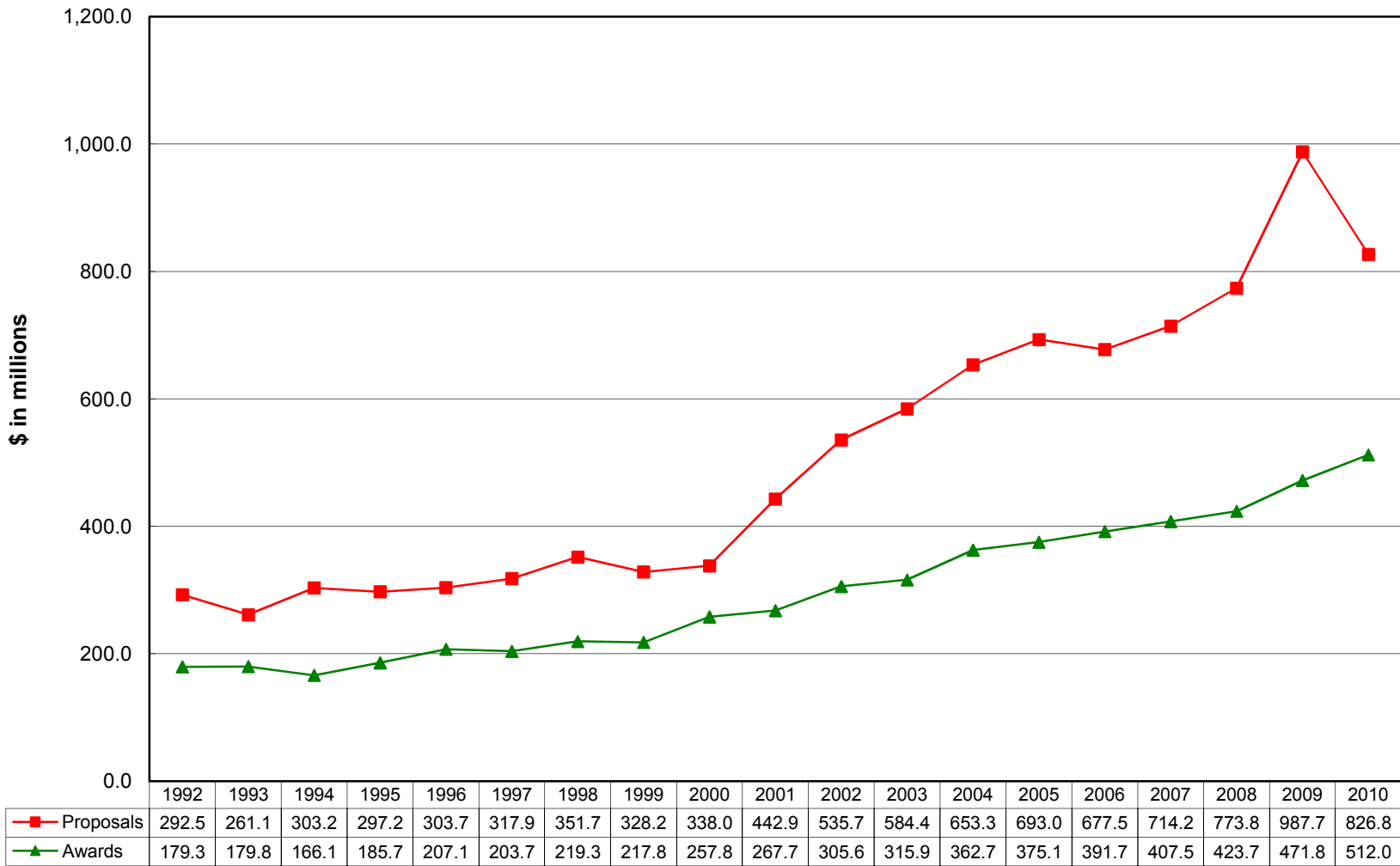
<b>Awards</b>	<b>2011</b>
Division	
Biological Sciences Division	284,514
Physical Sciences Division	61,553
Social Sciences Division	15,022
Humanities Division	4,119
Booth School of Business	7,007
School of Social Services Administration	3,381
Harris School of Public Policy Studies	1,997
Law School	270
Divinity School	725
Academic Offices	47,510
Chapin Hall Center for Children	7,746
University Offices	10,386
<b>Total</b>	<b>444,231</b>

Dollars in thousands

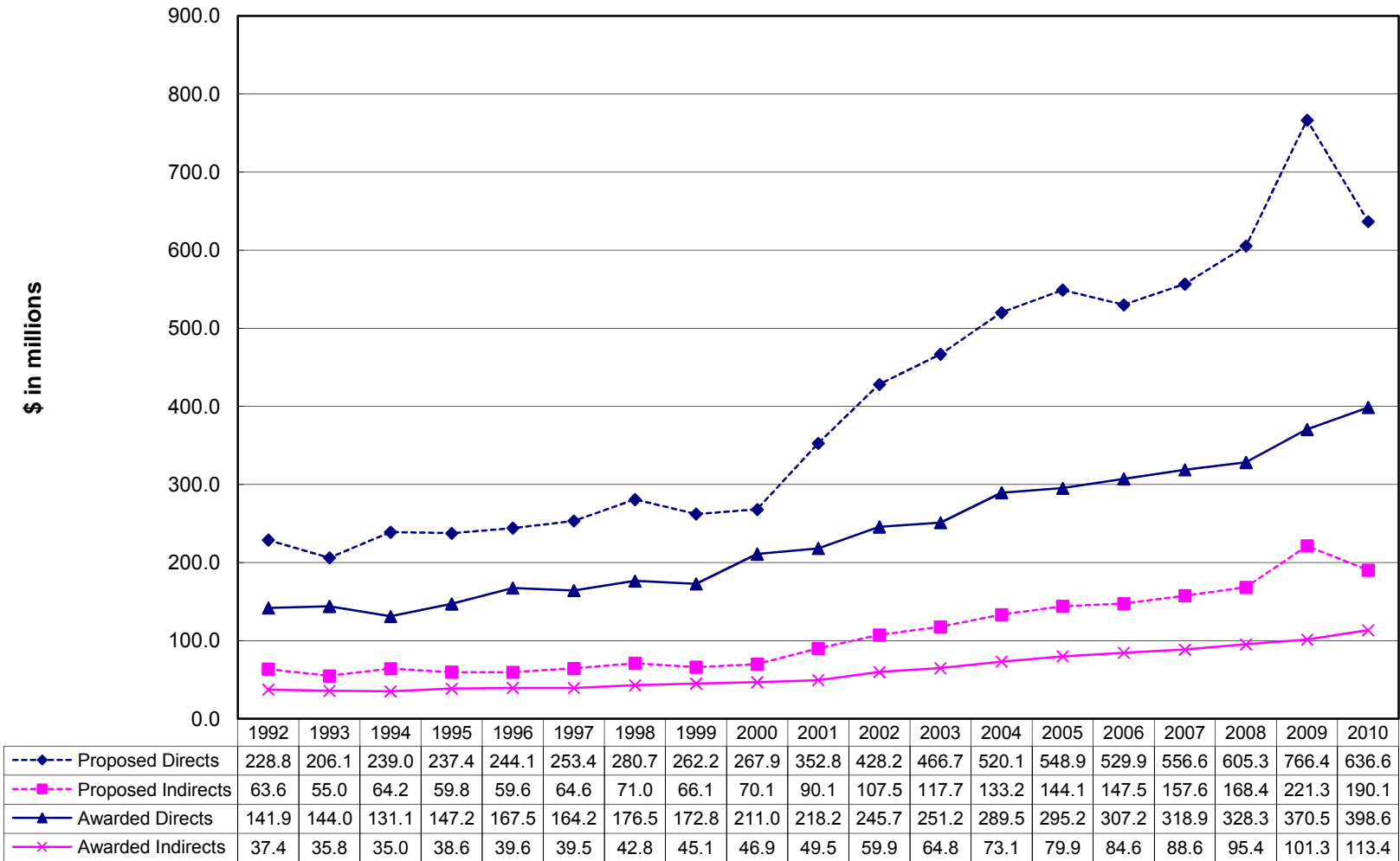
**University of Chicago**  
 Proposals and Awards for Principal Divisions  
 FY 1992 to FY 2011



**University of Chicago**  
 Proposed vs. Awarded Dollars  
 FY 1992 to FY 2011



**University of Chicago**  
 Proposed vs. Awarded Dollars - Direct and Indirect Costs  
 FY 1992 to FY 2011



**University of Chicago**  
Proposals and Awards by Agency  
FY 1992 to FY 2011

Proposals Agency	1992		1993		1994		1995		1996		1997		1998	
	Total \$	#	Total \$	#	Total \$	#	Total \$	#	Total \$	#	Total \$	#	Total \$	#
HHS	134,826	578	113,495	549	132,909	589	126,853	547	136,122	532	154,730	584	142,874	636
NSF	44,548	243	42,312	242	65,227	249	62,713	269	39,414	238	38,013	235	81,167	249
NASA	8,700	93	13,193	122	11,959	102	8,819	95	12,585	108	7,100	81	14,277	98
DOE	14,658	50	6,604	38	9,283	43	7,144	33	6,649	43	10,728	34	6,804	48
EDU	9,217	39	6,282	31	9,164	48	10,705	35	8,538	43	11,269	35	11,026	32
DOD	7,018	40	5,211	36	9,954	43	8,447	34	15,530	74	9,881	73	9,422	51
Other Federal	7,235	58	6,535	45	8,045	58	5,576	50	3,856	36	5,086	33	6,887	38
<b>Total Federal</b>	<b>226,204</b>	<b>1,101</b>	<b>193,631</b>	<b>1,063</b>	<b>246,542</b>	<b>1,132</b>	<b>230,258</b>	<b>1,063</b>	<b>222,694</b>	<b>1,074</b>	<b>236,808</b>	<b>1,075</b>	<b>272,458</b>	<b>1,152</b>
Foundations	37,814	203	38,293	201	21,639	185	28,987	225	31,285	223	39,759	265	31,551	224
Corporations	7,176	153	10,185	185	8,750	175	7,680	241	10,588	235	10,762	252	13,598	291
Nonfed. Gov't	7,360	49	3,512	47	6,410	70	7,031	70	9,873	71	9,173	70	11,041	80
Other Nonfed.	13,909	313	15,471	380	19,863	337	23,235	429	29,248	403	21,415	405	23,083	372
<b>Total Nonfed.</b>	<b>66,259</b>	<b>718</b>	<b>67,460</b>	<b>813</b>	<b>56,661</b>	<b>767</b>	<b>66,934</b>	<b>965</b>	<b>80,994</b>	<b>932</b>	<b>81,109</b>	<b>992</b>	<b>79,274</b>	<b>967</b>
<b>Total</b>	<b>292,463</b>	<b>1,819</b>	<b>261,091</b>	<b>1,876</b>	<b>303,203</b>	<b>1,899</b>	<b>297,192</b>	<b>2,028</b>	<b>303,688</b>	<b>2,006</b>	<b>317,917</b>	<b>2,067</b>	<b>351,732</b>	<b>2,119</b>

Awards Agency	1992		1993		1994		1995		1996		1997		1998	
	Total \$	#	Total \$	#	Total \$	#	Total \$	#	Total \$	#	Total \$	#	Total \$	#
HHS	74,237	406	76,267	419	77,508	368	86,942	400	99,967	428	96,157	424	102,545	441
NSF	21,849	167	28,190	143	25,405	162	29,792	176	25,745	159	24,768	153	29,756	149
NASA	8,914	109	7,564	87	7,088	73	7,533	82	6,192	105	7,503	86	9,161	69
DOE	10,883	51	6,431	33	5,223	27	4,919	40	7,771	44	6,125	34	7,671	35
EDU	14,894	48	6,603	34	8,006	43	7,637	47	5,794	51	9,447	55	6,680	45
DOD	2,933	30	4,731	24	3,612	29	4,539	25	7,332	37	4,007	34	2,136	28
Other Federal	2,492	38	2,754	28	3,301	26	2,404	38	3,260	29	2,225	26	1,667	18
<b>Total Federal</b>	<b>136,203</b>	<b>849</b>	<b>132,539</b>	<b>768</b>	<b>130,142</b>	<b>728</b>	<b>143,765</b>	<b>808</b>	<b>156,059</b>	<b>853</b>	<b>150,231</b>	<b>812</b>	<b>159,617</b>	<b>785</b>
Foundations	23,900	166	24,526	131	12,686	141	16,054	151	19,483	165	22,516	176	26,782	149
Corporations	7,961	168	9,766	171	10,805	187	7,437	188	11,527	230	10,205	211	15,534	246
Nonfed. Gov't	5,214	48	3,822	43	5,156	60	7,535	67	9,351	72	12,043	68	7,674	67
Other Nonfed.	6,046	184	9,166	194	7,337	189	10,940	183	10,683	201	8,718	183	9,704	212
<b>Total Nonfed.</b>	<b>43,121</b>	<b>566</b>	<b>47,279</b>	<b>539</b>	<b>35,984</b>	<b>577</b>	<b>41,966</b>	<b>589</b>	<b>51,044</b>	<b>668</b>	<b>53,482</b>	<b>638</b>	<b>59,694</b>	<b>674</b>
<b>Total</b>	<b>179,324</b>	<b>1,415</b>	<b>179,818</b>	<b>1,307</b>	<b>166,126</b>	<b>1,305</b>	<b>185,731</b>	<b>1,397</b>	<b>207,103</b>	<b>1,521</b>	<b>203,713</b>	<b>1,450</b>	<b>219,311</b>	<b>1,459</b>

**University of Chicago**  
Proposals and Awards by Agency  
FY 1992 to FY 2011

Proposals Agency	1999		2000		2001		2002		2003		2004		2005	
	Total \$	#	Total \$	#	Total \$	#	Total \$	#	Total \$	#	Total \$	#	Total \$	#
HHS	190,252	674	196,362	673	213,258	720	279,022	799	292,801	927	332,147	1,004	348,608	1,066
NSF	33,907	203	34,761	206	65,644	247	80,520	273	79,084	330	110,290	357	100,090	307
NASA	7,732	80	6,942	62	7,085	58	8,377	65	4,931	47	9,360	51	6,286	50
DOE	7,969	50	7,087	40	5,922	28	9,816	36	9,277	33	7,216	36	9,670	51
EDU	7,139	23	11,092	34	15,230	32	11,625	29	19,191	50	20,294	48	22,550	33
DOD	4,148	33	7,941	48	11,392	35	8,063	39	7,835	56	14,007	61	7,119	44
Other Federal	3,280	30	3,532	31	10,498	46	4,556	41	4,387	32	5,817	35	11,035	42
<b>Total Federal</b>	<b>254,427</b>	<b>1,093</b>	<b>267,716</b>	<b>1,094</b>	<b>329,030</b>	<b>1,166</b>	<b>401,979</b>	<b>1,282</b>	<b>417,506</b>	<b>1,475</b>	<b>499,132</b>	<b>1,592</b>	<b>505,359</b>	<b>1,593</b>
Foundations	32,486	221	25,654	244	37,120	241	44,775	260	79,568	264	60,360	232	60,002	228
Corporations	12,518	291	14,387	341	21,103	317	19,767	341	23,127	338	21,968	314	45,887	385
Nonfed. Gov't	8,063	71	11,445	67	16,770	80	13,558	68	17,960	87	16,510	81	13,121	70
Other Nonfed.	20,746	379	18,790	442	38,850	426	55,601	457	46,262	491	55,339	411	68,674	525
<b>Total Nonfed.</b>	<b>73,813</b>	<b>962</b>	<b>70,277</b>	<b>1,094</b>	<b>113,844</b>	<b>1,064</b>	<b>133,701</b>	<b>1,126</b>	<b>166,917</b>	<b>1,180</b>	<b>154,176</b>	<b>1,038</b>	<b>187,684</b>	<b>1,208</b>
<b>Total</b>	<b>328,240</b>	<b>2,055</b>	<b>337,993</b>	<b>2,188</b>	<b>442,874</b>	<b>2,230</b>	<b>535,680</b>	<b>2,408</b>	<b>584,423</b>	<b>2,655</b>	<b>653,308</b>	<b>2,630</b>	<b>693,042</b>	<b>2,801</b>

Awards Agency	1999		2000		2001		2002		2003		2004		2005	
	Total \$	#	Total \$	#	Total \$	#	Total \$	#	Total \$	#	Total \$	#	Total \$	#
HHS	115,151	474	132,077	471	117,991	499	160,718	523	166,319	575	204,097	593	193,958	588
NSF	27,516	143	23,943	135	31,467	157	31,949	167	41,404	165	44,618	179	40,587	148
NASA	6,323	75	5,291	69	4,642	60	6,611	61	4,669	57	4,210	45	4,354	48
DOE	7,723	37	8,982	36	9,682	29	9,587	31	8,838	27	10,258	35	11,376	40
EDU	4,083	21	8,295	30	14,412	52	7,667	34	11,582	39	11,117	33	20,546	39
DOD	4,971	27	3,017	26	3,073	19	4,572	18	4,735	26	4,615	24	7,039	31
Other Federal	3,184	21	2,285	18	2,609	17	3,193	26	3,030	28	2,690	21	2,427	21
<b>Total Federal</b>	<b>168,951</b>	<b>798</b>	<b>183,891</b>	<b>785</b>	<b>183,876</b>	<b>833</b>	<b>224,295</b>	<b>860</b>	<b>240,577</b>	<b>917</b>	<b>281,605</b>	<b>930</b>	<b>280,286</b>	<b>915</b>
Foundations	17,218	134	30,891	184	30,909	158	24,109	163	19,232	142	27,261	138	28,848	127
Corporations	11,437	217	16,342	278	18,755	298	19,600	298	22,218	334	18,757	303	34,119	367
Nonfed. Gov't	8,568	52	11,206	54	13,871	69	18,816	79	15,281	71	13,476	65	10,948	55
Other Nonfed.	11,643	185	15,483	231	20,293	246	18,779	247	18,633	321	21,563	240	20,903	310
<b>Total Nonfed.</b>	<b>48,866</b>	<b>588</b>	<b>73,922</b>	<b>747</b>	<b>83,828</b>	<b>771</b>	<b>81,304</b>	<b>787</b>	<b>75,364</b>	<b>868</b>	<b>81,056</b>	<b>746</b>	<b>94,818</b>	<b>859</b>
<b>Total</b>	<b>217,818</b>	<b>1,386</b>	<b>257,813</b>	<b>1,532</b>	<b>267,704</b>	<b>1,604</b>	<b>305,599</b>	<b>1,647</b>	<b>315,941</b>	<b>1,785</b>	<b>362,661</b>	<b>1,676</b>	<b>375,104</b>	<b>1,774</b>

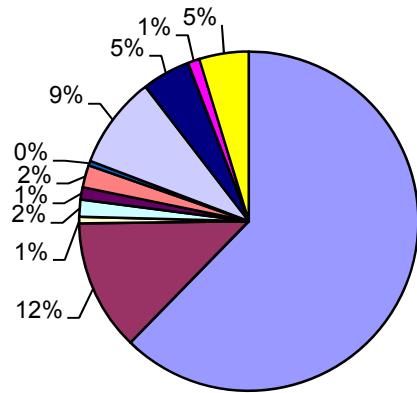
**University of Chicago**  
Proposals and Awards by Agency  
FY 1992 to FY 2011

Proposals Agency	2006		2007		2008		2009		2010		2011	
	Total \$	#	Total \$	#	Total \$	#	Total \$	#	Total \$	#	Total \$	#
HHS	351,969	1,188	411,618	1,205	433,706	1,166	615,360	1,711	531,615	1,470	483,412	1,367
NSF	82,971	328	60,698	326	103,765	323	123,192	381	93,227	359	116,831	323
NASA	6,185	39	6,442	48	7,958	39	6,442	38	4,319	29	4,238	44
DOE	12,970	51	12,172	59	13,440	64	16,087	76	15,792	79	19,272	65
EDU	18,426	41	13,745	41	15,711	39	11,483	40	31,181	72	12,349	38
DOD	17,365	69	13,085	54	10,701	58	20,911	104	19,984	114	15,738	69
Other Federal	6,038	42	7,733	45	4,148	24	4,438	43	30,015	58	12,870	35
<b>Total Federal</b>	<b>495,926</b>	<b>1,758</b>	<b>525,493</b>	<b>1,778</b>	<b>589,428</b>	<b>1,713</b>	<b>797,913</b>	<b>2,393</b>	<b>726,133</b>	<b>2,181</b>	<b>664,711</b>	<b>1,941</b>
Foundations	52,430	243	59,746	274	71,663	307	86,799	325	32,198	315	36,478	292
Corporations	31,587	382	35,287	446	28,919	413	45,328	412	29,306	430	24,227	403
Nonfed. Gov't	21,108	80	14,343	73	12,224	67	11,168	50	6,757	54	69,804	37
Other Nonfed.	76,410	609	79,320	659	71,546	672	46,489	637	32,389	686	23,516	607
<b>Total Nonfed.</b>	<b>181,535</b>	<b>1,314</b>	<b>188,696</b>	<b>1,452</b>	<b>184,352</b>	<b>1,459</b>	<b>189,784</b>	<b>1,424</b>	<b>100,650</b>	<b>1,485</b>	<b>154,025</b>	<b>1,339</b>
<b>Total</b>	<b>677,461</b>	<b>3,072</b>	<b>714,189</b>	<b>3,230</b>	<b>773,780</b>	<b>3,172</b>	<b>987,697</b>	<b>3,817</b>	<b>826,783</b>	<b>3,666</b>	<b>818,736</b>	<b>3,280</b>

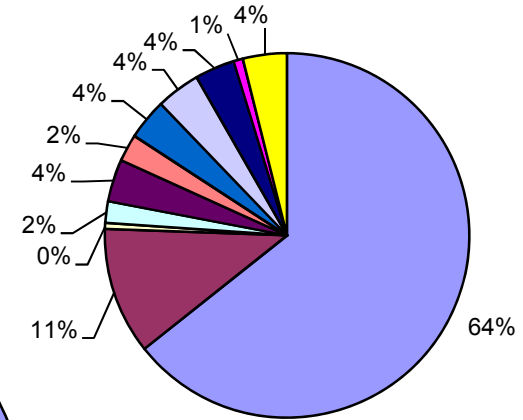
Awards Agency	2006		2007		2008		2009		2010		2011	
	Total \$	#	Total \$	#	Total \$	#	Total \$	#	Total \$	#	Total \$	#
HHS	213,337	690	201,763	675	231,244	710	239,600	741	282,868	935	268,175	855
NSF	54,008	174	50,846	170	56,356	196	71,050	177	89,335	212	50,592	183
NASA	4,721	50	4,596	45	6,541	36	4,218	38	5,611	45	4,270	46
DOE	9,875	41	12,211	47	10,291	66	10,245	60	9,945	75	14,664	78
EDU	7,217	21	13,660	43	13,714	43	7,304	35	28,131	49	10,173	34
DOD	4,405	25	7,267	28	8,986	28	13,182	33	12,968	58	10,774	46
Other Federal	4,094	24	2,725	26	3,094	18	1,515	23	3,006	32	1,458	16
<b>Total Federal</b>	<b>297,656</b>	<b>1,025</b>	<b>293,069</b>	<b>1,034</b>	<b>330,226</b>	<b>1,097</b>	<b>347,114</b>	<b>1,107</b>	<b>431,864</b>	<b>1,406</b>	<b>360,107</b>	<b>1,258</b>
Foundations	26,905	124	40,056	165	30,820	195	61,325	190	26,091	197	37,384	165
Corporations	28,555	325	31,177	382	30,829	407	31,144	371	29,990	405	24,646	236
Nonfed. Gov't	20,961	62	12,317	46	10,791	44	12,564	54	8,747	37	8,968	31
Other Nonfed.	17,664	306	30,860	371	21,028	383	19,692	374	15,333	483	13,127	404
<b>Total Nonfed.</b>	<b>94,085</b>	<b>817</b>	<b>114,409</b>	<b>964</b>	<b>93,468</b>	<b>1,029</b>	<b>124,725</b>	<b>989</b>	<b>80,162</b>	<b>1,122</b>	<b>84,124</b>	<b>836</b>
<b>Total</b>	<b>391,741</b>	<b>1,842</b>	<b>407,478</b>	<b>1,998</b>	<b>423,694</b>	<b>2,126</b>	<b>471,839</b>	<b>2,096</b>	<b>512,026</b>	<b>2,528</b>	<b>444,231</b>	<b>2,094</b>

**University of Chicago**  
Proposals by Agency  
FY 2009 to FY 2011

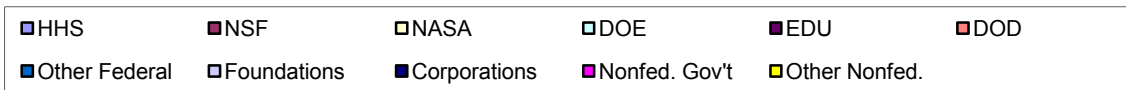
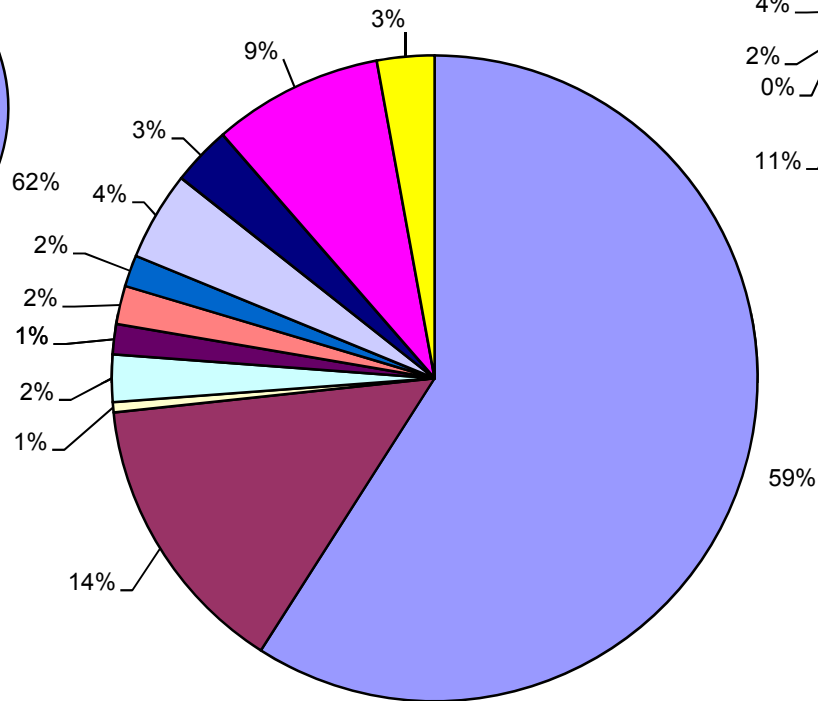
**FY 2009**



**FY 2010**

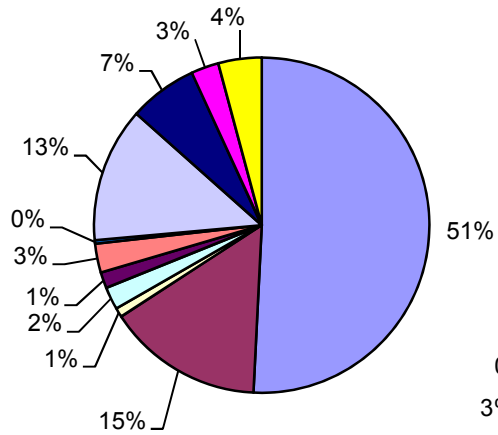


**FY 2011**

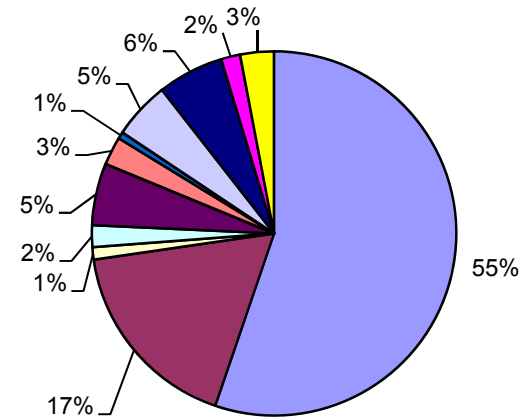


**University of Chicago**  
Awards by Agency  
FY 2009 to FY 2011

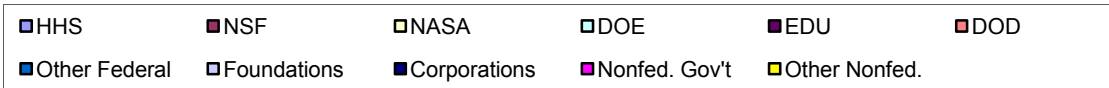
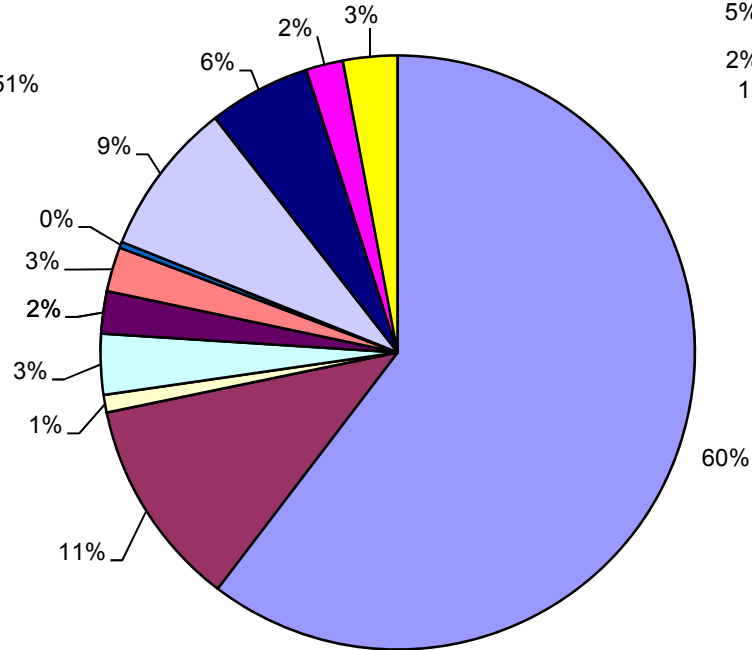
**FY 2009**



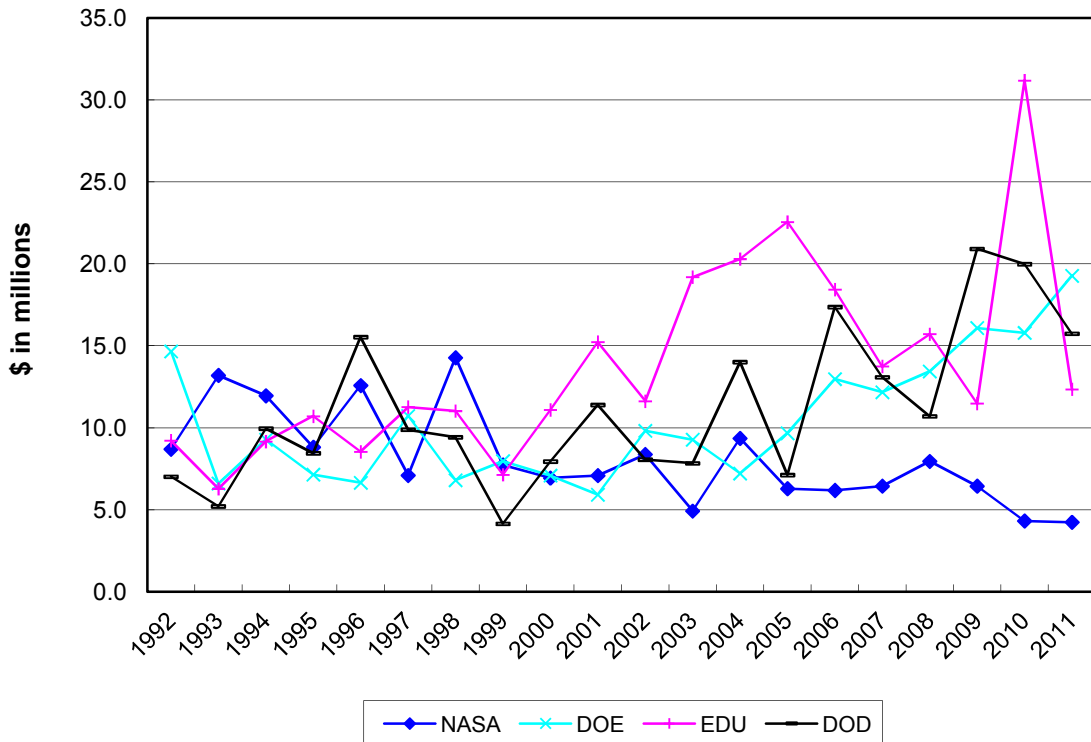
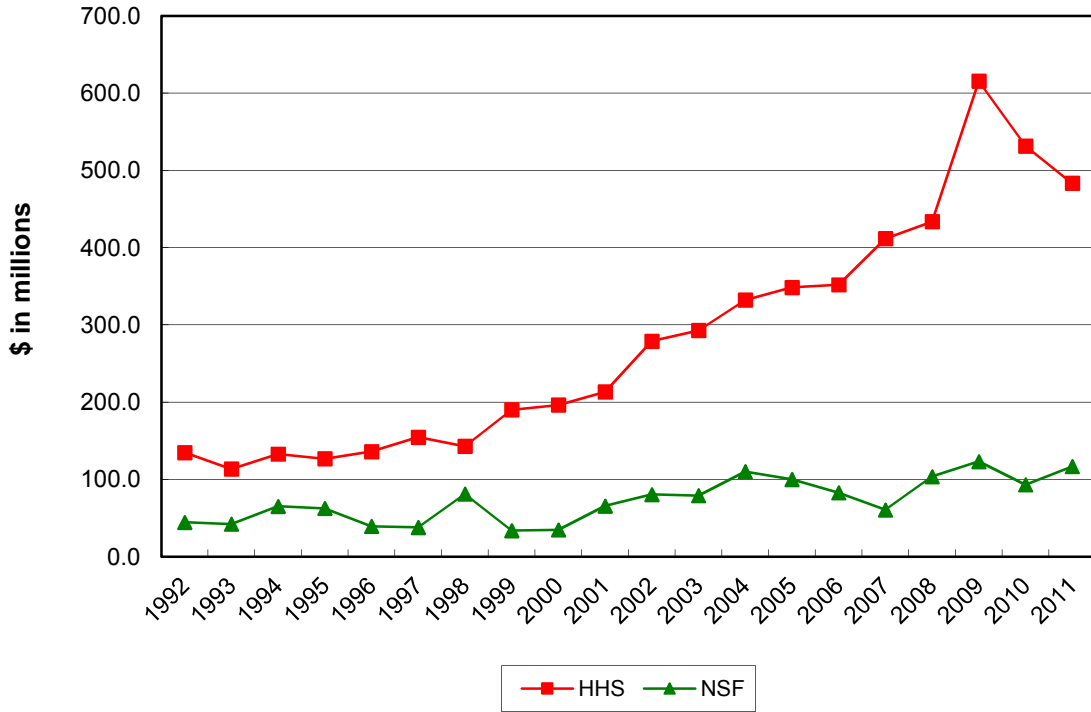
**FY 2010**



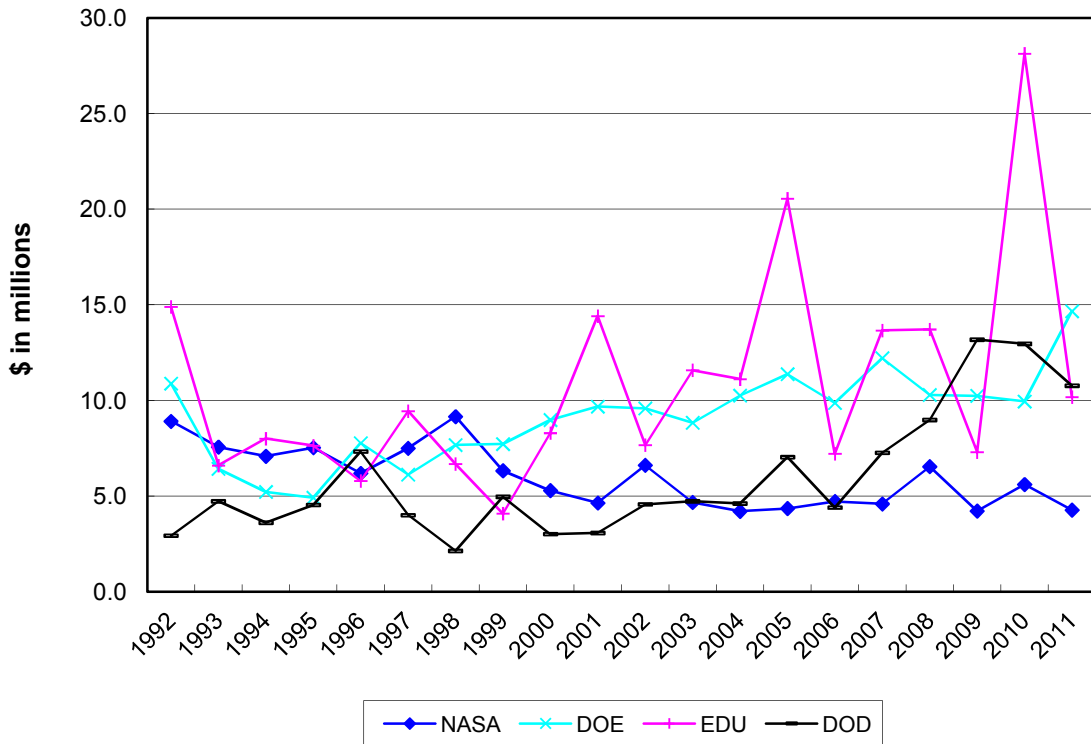
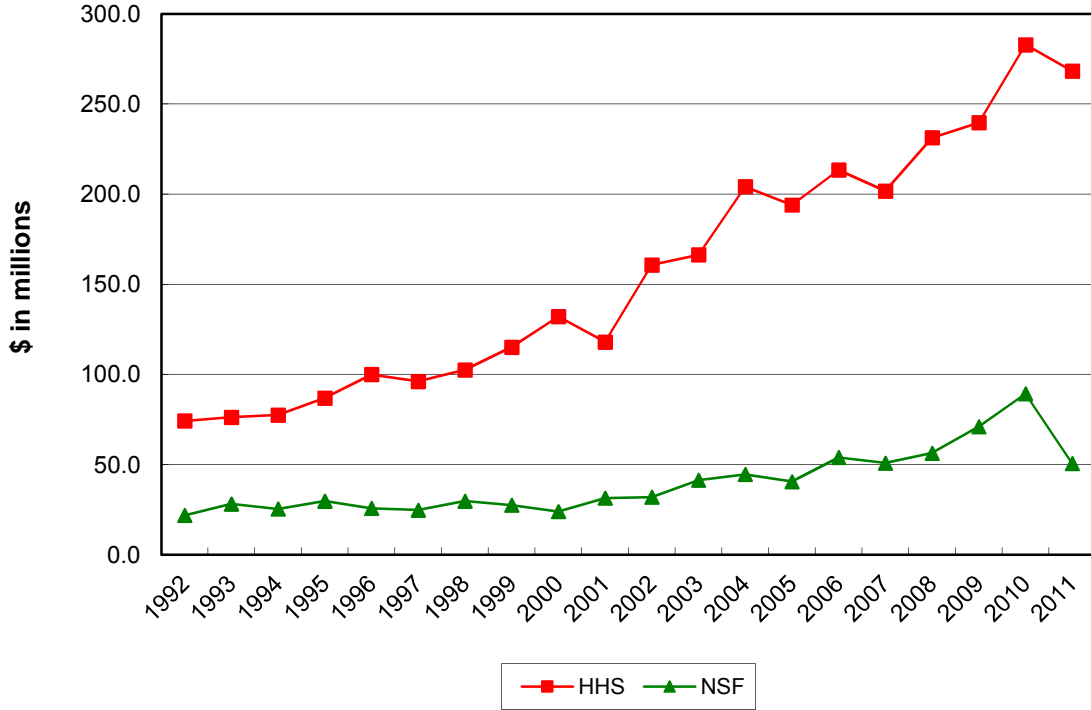
**FY 2011**



**University of Chicago**  
Principal Federal Funding Sources - Proposals  
FY 1992 to FY 2011

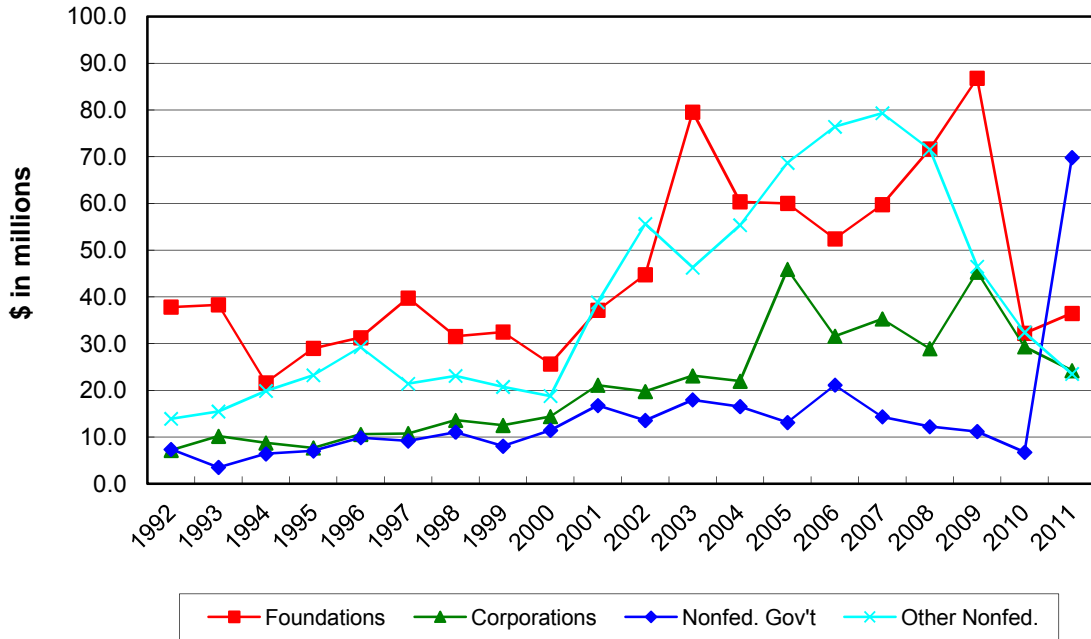


**University of Chicago**  
Principal Federal Funding Sources - Awards  
FY 1992 to FY 2011

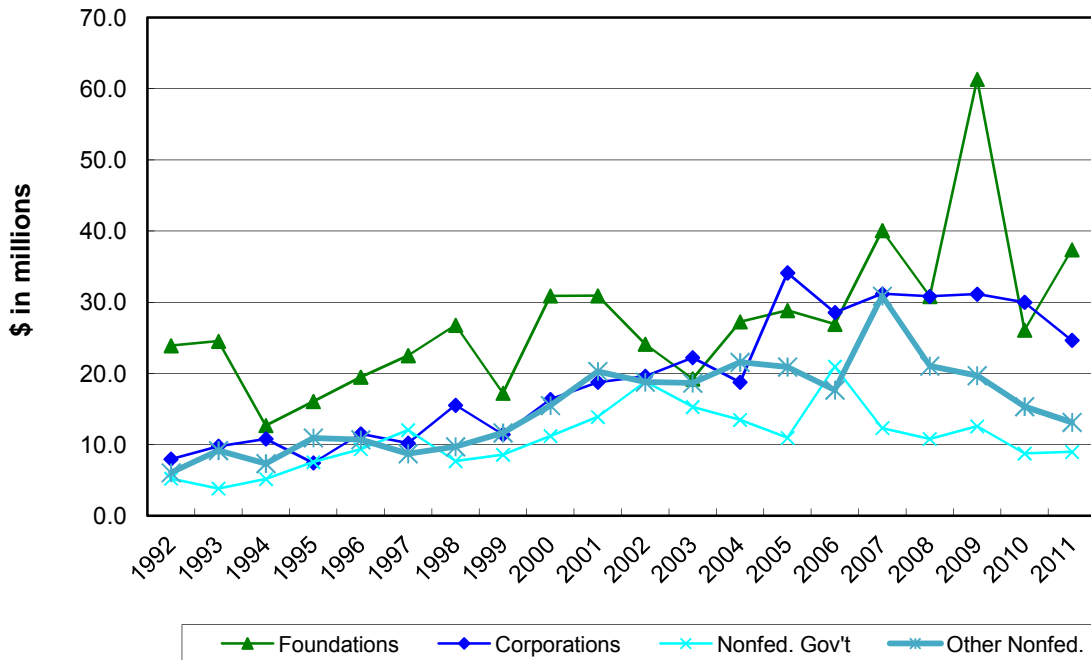


**University of Chicago**  
Principal Non-Federal Funding Sources  
FY 1992 to FY 2011

**Proposals**

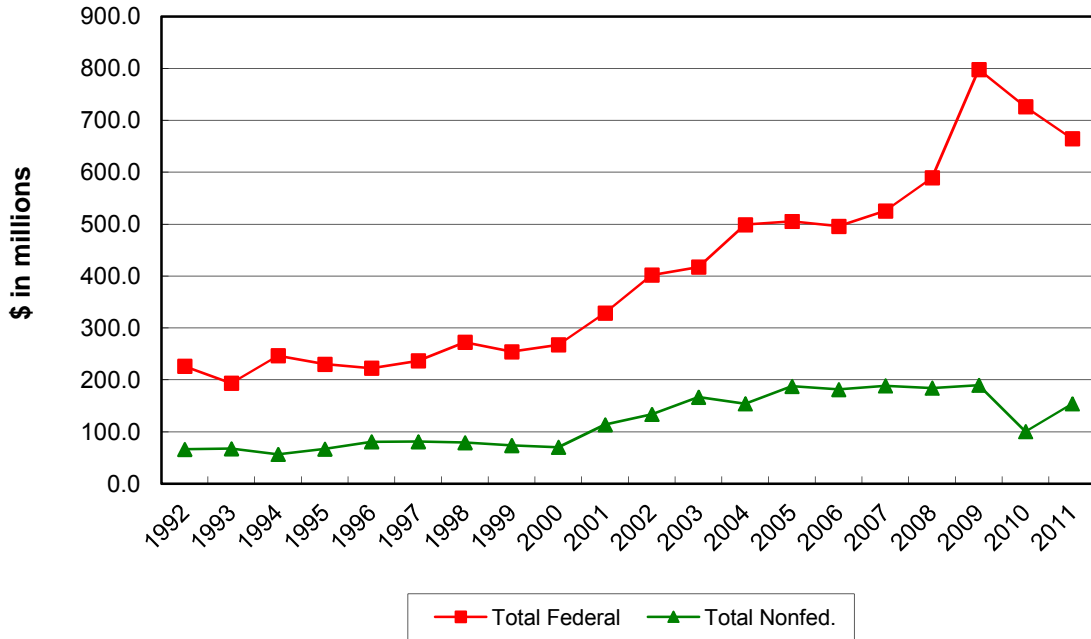


**Awards**

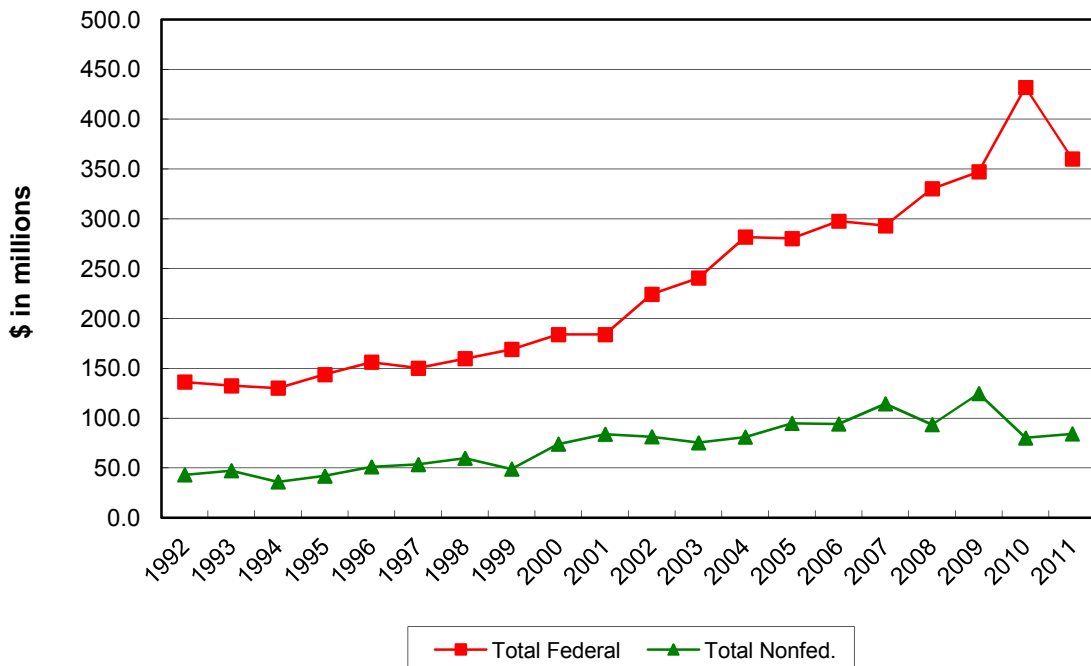


**University of Chicago**  
 Proposals and Awards - Federal vs. Non-Federal Funding  
 FY 1992 to FY 2011

**Proposals**

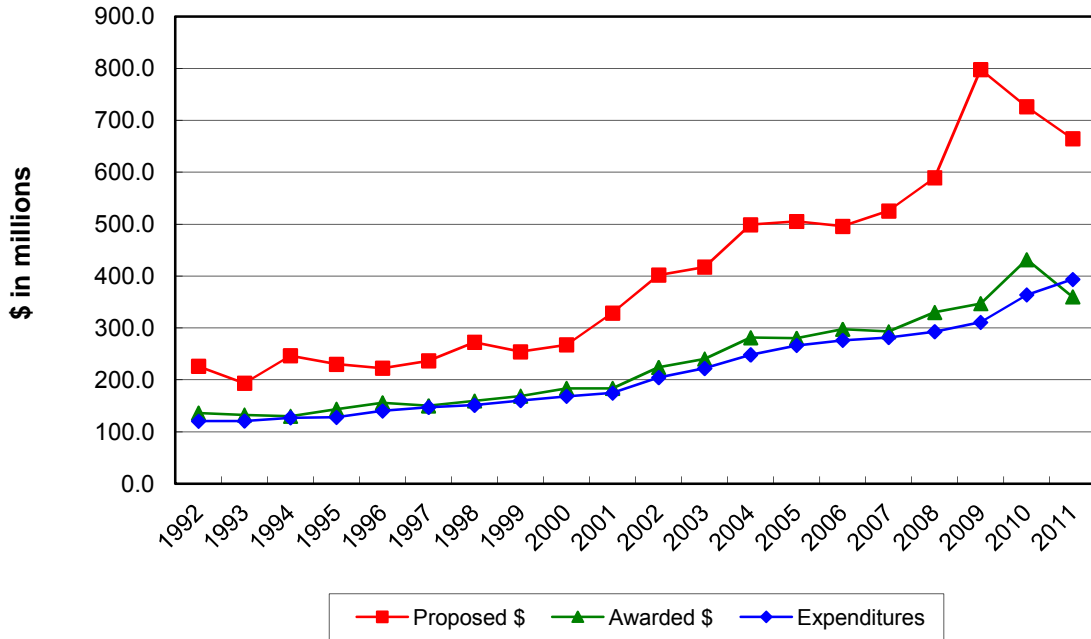


**Awards**

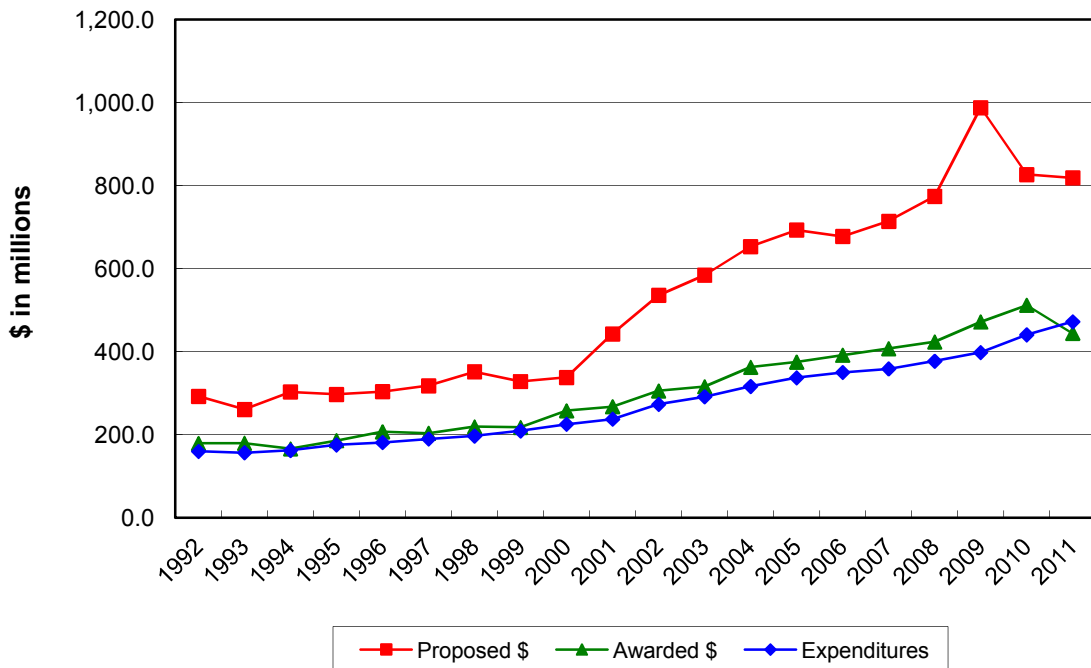


**University of Chicago**  
 Comparison of Proposed and Awarded Funding with Expenditures  
 FY 1992 to FY 2011

**Federal**



**Total**



**University of Chicago**  
 Health and Human Services vs. National Science Foundation  
 FY 1992 to FY 2011

